

# The effects of aging on the distribution of cerebral blood flow with postural changes and mild hyperthermia

Akemi Ota, Ryosuke Takeda, Daiki Imai, Nooshin Naghavi, Eriko Kawai, Kosuke Saho, Emiko Morita, Yuta Suzuki, Hisayo Yokoyama, Toshiaki Miyagawa, Kazunobu Okazaki

<b>Citation</b>	European Journal of Applied Physiology, 119(5); 1261–1272
<b>Issue Date</b>	2019-05-01
<b>Type</b>	Journal article
<b>Textversion</b>	Authors
<b>Rights</b>	This is a post-peer-review, pre-copyedit version of an article published in European Journal of Applied Physiology. The final authenticated version is available online at: <a href="https://doi.org/10.1007/s00421-019-04118-5">https://doi.org/10.1007/s00421-019-04118-5</a> . Springer Nature terms of use: <a href="https://www.springer.com/gp/open-access/publication-policies/aam-terms-of-use">https://www.springer.com/gp/open-access/publication-policies/aam-terms-of-use</a> .
<b>DOI</b>	10.1007/s00421-019-04118-5

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Placed on: Osaka City University

1 **The effects of aging on the distribution of cerebral blood flow with postural changes and**  
2 **mild hyperthermia**

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4 Akemi Ota<sup>1,2</sup>, Ryosuke Takeda<sup>3</sup>, Daiki Imai<sup>1,3</sup>, Nooshin Naghavi<sup>1</sup>, Eriko Kawai<sup>1</sup>, Kosuke Saho<sup>1</sup>,  
5 Emiko Morita<sup>1</sup>, Yuta Suzuki<sup>1,3</sup>, Hisayo Yokoyama<sup>1,3</sup>, Toshiaki Miyagawa<sup>1,3</sup>, and Kazunobu  
6 Okazaki<sup>1,3</sup>

7 <sup>1</sup>*Department of Environmental Physiology for Exercise, Osaka City University Graduate School*  
8 *of Medicine, Osaka, Japan, <sup>2</sup>Department of Health Promotion and Sports Sciences, Osaka*  
9 *Electro-communication University, Osaka, Japan, <sup>3</sup>Research Center for Urban Health and Sports,*  
10 *Osaka City University, Osaka, Japan*

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12 **Running head:** Age, heat, and orthostatic stress on intracranial blood flow

13  
14 **Word count:** 5203    **Tables:** 3    **Figures:** 2

15  
16 **Corresponding author**

17 Kazunobu Okazaki, Ph.D.

18 Research Center for Urban Health and Sport, Osaka City University, and Department of  
19 Environmental Physiology for Exercise, Osaka City University Graduate School of Medicine, 3-  
20 3-138 Sugimoto Sumiyoshi, Osaka 558-8585, Japan

21 TEL: +81-6-6605-2950 / FAX: +81-6-6605-2950

22 E-mail: [okazaki@sports.osaka-cu.ac.jp](mailto:okazaki@sports.osaka-cu.ac.jp)

23  
24 **Conflict of Interest:** None declared.

25

26 **ABSTRACT**

27 **Purpose:** Cerebral blood flow (CBF) would be impaired with dual stresses of heat and orthostatic  
28 changes, even if those stresses are mild, in the elderly with declined cardio- and cerebrovascular  
29 functions with ageing. To test the hypothesis, we compared the response of blood flow in the  
30 internal carotid artery (ICA) and vertebral artery (VA) to dual stresses of heat and orthostatic  
31 changes between the elderly and young individuals.

32 **Methods:** Nine elderly and eight young healthy men ( $71.3 \pm 3.0$  and  $23.3 \pm 3.1$  years, mean  $\pm$   
33 SD, respectively) underwent measurements of blood flow in the ICA, VA and external carotid  
34 artery (ECA) via ultrasonography. The measurements were obtained in sitting and supine  
35 positions under normothermic (NT) and mildly hyperthermic (HT) conditions (ambient  
36 temperature  $28^{\circ}\text{C}$ ). Esophageal temperatures increased from NT ( $36.4 \pm 0.2^{\circ}\text{C}$ , mean  $\pm$  SE) to  
37 HT ( $37.4 \pm 0.2^{\circ}\text{C}$ ) with lower legs immersion in  $42^{\circ}\text{C}$  water.

38 **Results:** With heat stress, ECA blood flow increased in both postures in both age groups (effect  
39 of heat,  $p < 0.001$ ), whereas ICA blood flow remained unchanged. With postural changes from  
40 supine to sitting, ECA blood flow remained unchanged whereas ICA blood flow decreased (effect  
41 of posture,  $p = 0.027$ ) by 18% in NT in the young and by 20% in HT in the elderly. VA blood flow  
42 remained unchanged under both heat stress and postural changes.

43 **Conclusions:** The CBF is impaired under dual stresses of heat and orthostatic changes in healthy  
44 aged individuals, even if the levels of the stresses are mild.

45

46 **Keywords:** carotid artery, orthostatic stress, heat stress, elderly

47

48 **Abbreviations**

CBF	Cerebral blood flow
ECA	External carotid artery
ICA	Internal carotid artery
VA	Vertebral artery
CO	Cardiac output
MCAv	Middle cerebral artery
SV	Stroke volume
T <sub>es</sub>	Esophageal temperature
HR	Heart rate
NT	Normothermia
HT	Hyperthermia
T <sub>sk</sub>	Skin temperature
PP	Pulse pressure
MBP	Mean blood pressure
BP	Blood pressure
P <sub>ET</sub> CO <sub>2</sub>	Partial pressure of end tidal carbon dioxide
MRI	Magnetic resonance imaging
ANOVA	Analysis of variance
SD	Standard deviation

49

## 50 INTRODUCTION

51 Whether individually or experienced in combination, orthostatic stress and heat stress can  
52 greatly impact daily living in young and older individuals. Previous studies have reported that  
53 both of the orthostatic stress (Alperin et al. 2005; Ogoh et al. 2015; Sato et al. 2012) and passive  
54 whole body heating (Brothers et al. 2009; Lind et al. 1968; Low et al. 2008; Nakata et al. 2017;  
55 Wilson et al. 2006; Wilson et al. 2002) decrease cerebral blood flow (CBF) even in healthy young  
56 adults. With orthostatic stress, decrease in cardiac output (CO) (Meng et al. 2015; Ogoh et al.  
57 2005), hypocapnia with hyperventilation and modified carbon dioxide (CO<sub>2</sub>) reactivity (Serrador  
58 et al. 2006) or deterioration of cerebral autoregulation (Zhang et al. 1998) have been reported as  
59 a possible mechanism of the decreased CBF. In fact, the mean total CBF, measured with time-of-  
60 flight and cine phase-contrast magnetic resonance imaging (MRI) techniques, was 12% lower in  
61 the sitting position compared with the supine position (Alperin et al. 2005). Other studies that  
62 assessed blood flow in neck arteries with the Doppler ultrasonography as a quantitative measure  
63 of CBF demonstrated that the internal carotid artery (ICA) blood flow, which forms the anterior  
64 cerebral circulation and nourishes a large portion of the anterior brain, declined with orthostatic  
65 stress by using head-up tilt (Sato et al. 2012) or lower body negative pressures (Ogoh et al. 2015).  
66 In contrast, the vertebral artery (VA) blood flow, which merges into the basilar artery and  
67 nourishes the posterior part of the brain, brainstem, cerebellum and spinal cord, remained  
68 unchanged, indicating the importance of quantitative assessment of blood flow in neck arteries.

69 On the other hand, the decreased CBF with passive whole body heating (Lind et al. 1968; Low  
70 et al. 2008; Nakata et al. 2017; Wilson et al. 2006; Wilson et al. 2002) is associated primarily with  
71 heat dissipating mechanisms, including augmented cutaneous vasodilation and sweating, together  
72 with peripheral blood pooling and dehydration, could induce reduction in the central blood  
73 volume and arterial blood pressure (BP), although CO rises under heat stress (Crandall et al. 1999).  
74 Furthermore, it has been reported that the decreased CBF under hyperthermic conditions is  
75 associated with hyperventilation-induced hypocapnia (Bain et al. 2013; Brothers et al. 2009;

76 Nelson et al. 2011) and modified CO<sub>2</sub> reactivity (Wilson et al. 2006). Indeed, Bain et al., (Bain et  
77 al. 2013) reported that hyperventilation induced decrease in the partial pressure of end-tidal CO<sub>2</sub>  
78 (P<sub>ET</sub>CO<sub>2</sub>) during severe hyperthermia (+2.0°C above resting) predominated any decrease in blood  
79 flow on the encephalic vasculatures whilst supine. Conversely, it has been indicated that P<sub>ET</sub>CO<sub>2</sub>  
80 accounted for the CBF reduction to a smaller extent during mild hyperthermia (~1.2°C above  
81 resting) than severe hyperthermia (Brothers et al. 2009; Nelson et al. 2011) and that reduced  
82 venous return to the heart and stroke volume (SV) is primarily associated with the CBF reduction.  
83 Reportedly, the ICA blood flow is decreased by about 15% with each ~1.2°C increase in the core  
84 temperature when subjects are in the supine position (Nakata et al. 2017). More specifically, it  
85 has been shown that the ICA and VA blood flow decreased with an increased esophageal  
86 temperature (T<sub>es</sub>) in passively heated young subjects (Ogoh et al. 2013b). Such cerebral  
87 hypoperfusion is a factor leading to light-headedness, dizziness, nausea, and syncope, which  
88 could impair cerebral oxygenation (Van Lieshout et al. 2003) and act as a factor resulting in  
89 cognitive dysfunction (Nakata et al. 2015; Shibasaki et al. 2017).

90 The CBF is also known to decrease with advancing age (Ainslie et al. 2008; Amin-Hanjani et  
91 al. 2015; Bain et al. 2015; Parkes et al. 2004; Tarumi et al. 2014). Age-related changes in the  
92 cardio- and cerebrovascular systems, including central artery stiffness (Tarumi et al. 2014),  
93 general widening and hardening of arterioles and venules (Lakatta 1993a; Sonntag et al. 2007;  
94 Vaitkevicius et al. 1993), declined PaCO<sub>2</sub> though cerebrovascular CO<sub>2</sub> reactivity does not  
95 seemingly change (Bronzwaer et al. 2017), and decreased CO (Lakatta 1993b) are likely related  
96 to the age-associated decreases in the CBF, although autoregulation appears to be intact amongst  
97 healthy elderly individuals (Oudegeest-Sander et al. 2014). Of note, reduced CBF is a possible  
98 risk factor for the pathogenesis of white matter damage (Tarumi et al. 2014) and cognitive decline  
99 in the elderly (Benedictus et al. 2017). Moreover, cardiovascular responsiveness to heat (Minson  
100 et al. 1998) and orthostatic stresses (Lucas et al. 2008) including elevation in heart rate (HR) are  
101 generally reduced even in healthy individuals because of the attenuated increase in the autonomic

102 outflow and beta-responsiveness (Lakatta 1993b). Considering the significance of the sensitivity  
103 to CO<sub>2</sub> of brain vasculatures and the age related decline in PaCO<sub>2</sub> (Bronzwaer et al. 2017), the  
104 elderly populations may be especially susceptible to disorders caused by cerebral hypoperfusion  
105 during the orthostatic challenge, especially under hyperthermia. Lucas et al. (Lucas et al. 2008)  
106 reported that blood flow velocity in the middle cerebral artery (MCAv) at the baseline in the  
107 supine normothermic state was lower than the standing state, and the drop in MCAv from supine  
108 to standing in normothermia was greater in elderly adults than in young adults. Nevertheless, an  
109 increase in T<sub>es</sub> of 0.5°C using a water-perfused suit did not exacerbate the postural response in  
110 either age group. However, the CBF was not assessed volumetrically but estimated with blood  
111 flow velocity of the cerebral artery by using transcranial Doppler ultrasonography in their study.  
112 To date, no studies have examined the possibility that the CBF in elderly individuals is impaired  
113 under the dual stresses of heat and orthostasis, even when those stresses are mild, and when each  
114 stress alone would not induce substantial changes in CBF.

115 The purpose of this study was to assess the effects of healthy aging on the distribution of CBF.  
116 To accomplish this, we assessed blood flows through the ICA and VA, two arteries that supply a  
117 large portion of the anterior and posterior brain, respectively, under two conditions: 1) postural  
118 change from the supine to sitting position and 2) during normothermia (NT) and mild  
119 hyperthermia (HT). We hypothesized that the CBF in elderly subjects would be impaired under  
120 the dual stresses of postural change and mild hyperthermia.

121

## 122 **METHODS**

### 123 **Subjects**

124 Nine elderly and eight young male volunteers participated in this study. The characteristics of  
125 the subjects are shown in Table 1. All subjects were non-smokers and had no overt history of  
126 cardiovascular, metabolic, or pulmonary diseases. The mean age was greater and mean height was  
127 shorter in the elderly subjects compared to those of the young subjects. However, there were no

128 significant differences in body weight and body mass index between the groups. The subjects  
129 were active, but were not engaged in any regular exercise training protocol except for daily  
130 walking. Each subject provided written informed consent before participation in the study, which  
131 was approved by the Institutional Review Board of Osaka City University Graduate School of  
132 Medicine (No. 2711) and conformed to the standards set by the Declaration of Helsinki. All  
133 experiments were performed in cool seasons (other than July, August, and September) in Japan.

134

### 135 **Experimental protocol**

136 Subjects were requested to abstain from consuming caffeinated or alcoholic beverages and to  
137 refrain from vigorous physical activity for 24 hours before the experiment. Subjects arrived at the  
138 laboratory having fasted for at least 2 hours after a light meal and at least 1 hour after drinking  
139 500 mL of water to avoid dehydration. The subjects were instructed to void, were weighed in the  
140 nude, and were asked to put on short pants. They then inserted an esophageal thermistor through  
141 the external nares to measure  $T_{es}$ . Thermistor probes were also applied to the skin surfaces to  
142 measure skin temperatures. The tip of the esophageal thermistor was advanced to a distance that  
143 was one-fourth the participant's standing height. Experiments were performed in a climatic  
144 chamber (TBR-6W2S2L2M; ESPEC Co., Osaka, Japan) with an ambient temperature of  $28.0 \pm$   
145  $0.1^\circ\text{C}$  (mean  $\pm$  range) and a relative humidity of  $40 \pm 1\%$ . The subjects sat on a reclining chair in  
146 the chamber for 20 minutes during instrumentation, and then baseline data were collected in the  
147 sitting position.

148 Figure 1 shows the experimental protocol and the posture of subjects. The subjects underwent  
149 the measurements in the sitting or supine position with the order counterbalanced under the  
150 thermoneutral condition as normothermia (NT). The backrest angle of the reclining chair was  
151 adjusted to  $0^\circ$  for the supine position and  $70^\circ$  for the sitting position. CBF data were obtained  
152 after a 5-minute equilibrium period in the appropriate body position. Thermal and hemodynamic  
153 data were collected for 5 minutes in each condition. All of the procedures were performed again



154 in the alternate body position. After measurements were obtained in NT, the subjects were  
155 passively heated in the sitting position by placing their lower legs in water that was controlled at  
156 42°C. After 40 minutes of heating, the same measurements were obtained under the mild  
157 hyperthermia (HT) same as during NT while keeping passive heating.

158

## 159 **Measurements**

160 Thermometry:

161  $T_{es}$  was measured with the esophageal thermistor inserted into a polyethylene tube (LT-ST08-  
162 11; Gram Co, Saitama, Japan). Skin surface temperatures were measured using thermistors (LT-  
163 ST08-12; Gram Co) placed on skin surface of the right side of the chest, upper arm, thigh, and  
164 leg. Data for  $T_{es}$  and skin temperatures were collected at intervals of 1 sec. The mean skin  
165 temperature ( $T_{sk}$ ) was calculated as the weighted average signified by  $0.3 \times (\text{chest temperature} +$   
166  $\text{upper arm temperature}) + 0.2 \times (\text{thigh temperature} + \text{leg temperature})$  (Ramanathan 1964).

167

168 Cardiovascular responses:

169 HR were obtained from electrocardiogram tracings (BSM-7201; Nihon Kohden Co., Tokyo,  
170 Japan). Intermittent arterial blood pressures were measured every minute by auscultation of the  
171 brachial artery via electrospphygmomanometry (STBP-780, Colin, Komaki, Japan). Pulse pressure  
172 (PP) was calculated as systolic BP - diastolic BP and mean blood pressure (MBP) was calculated  
173 as  $DBP + PP/3$ . The partial pressure of end-tidal carbon dioxide ( $P_{ETCO_2}$ ) was monitored by a  
174 carbon dioxide monitor with a nasal adaptor (OLG-2800, Nihon, Tokyo, Japan).

175

176 CBF:

177 Blood flow in the left side of ICA, external carotid artery (ECA) and VA were measured using  
178 a color-coded ultrasound system (Vivid-i; GE Healthcare, Tokyo, Japan) equipped with a 12 MHz  
179 linear transducer. The ICA blood flow was measured ~1.0-1.5 cm distal to the carotid bifurcation

180 while the subjects' chin was slightly elevated. The ECA blood flow was measured ~1.0-1.5 cm  
181 above the carotid bifurcation, or immediately before the first ECA branch. The VA blood flow  
182 was measured between the transverse processes of the C3 and the subclavian artery. For blood  
183 flow measurements, the brightness mode was first used in a longitudinal section to measure the  
184 mean diameter of each vessel. Next, the flow velocity spectra by pulsed wave Doppler were  
185 recorded for 16 sec to estimate the time averaged flow velocity. Throughout insonation, care was  
186 taken to ensure that the probe position was stable, the insonation angle did not vary (60 degrees  
187 in most cases), that the sample volume was positioned in the center of the vessel, and the position  
188 was adjusted to cover the width of the vessel diameter. When a subject moved or the insonation  
189 angle of the ultrasound beam changed during recording, the operator extended the data recording  
190 duration to obtain reliable images for the whole frame of the recording period. The systolic and  
191 diastolic diameters were measured for an average of 3 cardiac cycles, then the mean diameters  
192 (cm) were calculated using the formula as follows:

193 Mean diameter = [(systolic diameter × 1/3)] + [(diastolic diameter × 2/3)] (Ogoh et al. 2013a).

194 The representative measurements of blood flow velocity in each condition were made from the  
195 average of ~8 to 25 cardiac cycles to eliminate the breathing cycle effects. Finally, blood flow  
196 was calculated as a product of the mean blood flow velocity and cross-sectional area as follows:

197 Blood flow (mL/min) = mean blood flow velocity (cm/sec) × [ $\pi \times (\text{mean diameter}/2)^2$ ] × 60  
198 (Ogoh et al. 2013a).

199

200 All ultrasound measurements were performed by a trained investigator. Although care was  
201 taken during each insonation, the subjects' postures and the increased ventilation with heat stress  
202 made it difficult to obtain reliable images in some subjects. Therefore, the sample size used for  
203 comparison of blood flow in the VA was five in the elderly group.

204

205 Data analysis

206  $T_{es}$ ,  $T_{sk}$ , BP, and HR at each condition were averaged for 5 min. Arterial conductance in the  
207 ICA, VA, and ECA were calculated as the blood flow at each site divided by MBP corrected by  
208 the hydrostatic pressure difference between the level of the cuff and measurement sites.

209

210 Statistical analysis

211 We used three-factor repeated-measures ANOVA (two factors, heat and posture, repetition) to  
212 assess the effects of heat (NT vs. HT), posture (supine vs. sitting), and age (young vs. elderly) on  
213 each variable (inter-subject factor: age; intra-subject factors: heat and posture). Subsequent *post-*  
214 *hoc* tests to determine significant differences in each pairwise comparison were performed using  
215 the Scheffe test. All data were analyzed using SPSS statistical software (SPSS version 17.0, SPSS  
216 Inc., Chicago, IL). Statistical significance was established at an  $\alpha$  level of 0.05, and values are  
217 expressed as means  $\pm$  SEM.

218

## 219 **RESULTS**

220 No participants expressed any presyncopal symptoms during the experiment. The body  
221 temperatures and cardiovascular responses are presented in Table 2. There was no significant  
222 effect of age on any variable, except for  $P_{ET}CO_2$  which exhibited lower values in the elderly group  
223 than in the young group (effect of age,  $p < 0.001$ ).  $T_{es}$ , and  $T_{sk}$  increased with passive heating in  
224 both body postures and age groups (effect of heat, both,  $p < 0.001$ ). We also found significant  
225 effects of posture on  $T_{es}$  and  $T_{sk}$  ( $p = 0.001$  and  $p = 0.018$ , respectively).  $T_{es}$  was significantly  
226 higher in the sitting than in the supine position during NT in both groups and during HT in the  
227 young group.  $T_{sk}$  showed significantly lower values in the sitting than in the supine position during  
228 HT in both groups.

229 HR increased with heat stress in both body postures and age groups (effect of heat,  $p < 0.001$ ).  
230 In contrast, there were significant effects on posture ( $p = 0.005$ ) and interactions (posture  $\times$  age,  
231  $p = 0.002$ ) with HR. Importantly HR increased significantly in the sitting compared to the supine

232 position in the young, but not in the elderly group. Also, there were significant effects of  
233 interaction on DBP and MBP (posture  $\times$  age,  $p = 0.018$  and  $0.028$ , respectively).  $P_{ETCO_2}$  decreased  
234 with heat stress and with orthostatic stress in the young group, whereas it declined only with  
235 orthostatic stress during HT in the elderly group.

236 Figure 2 shows the blood flow in the neck arteries in each condition and Table 3 presents the  
237 mean blood flow velocity and mean vessel diameter with conductance. With heat stress, ECA  
238 blood flow increased in both body postures and age groups (effect of heat,  $p < 0.001$ ) whereas  
239 ICA blood flow remained unchanged. However, with the postural change from supine to sitting,  
240 ECA blood flow remained unchanged whereas ICA blood flow decreased (effect of posture,  $p =$   
241  $0.027$ ) significantly in NT in the young group, whereas it decreased in HT in the elderly group.  
242 We found significant effect of interaction (heat  $\times$  posture  $\times$  age,  $p = 0.027$ ; heat  $\times$  age,  $p = 0.021$ )  
243 on ICA blood flow. VA blood flow remained unchanged under heat stress and postural change. In  
244 addition, the mean blood flow velocity for ICA and VA remained unchanged with heat stress and  
245 postural change in both age groups, whereas it increased for ECA with heat stress in both body  
246 postures and age groups (effect of heat,  $p < 0.001$ ). The mean blood flow velocity for VA was  
247 lower in the elderly than in the young group (effect of age,  $p = 0.001$ ). The mean vessel diameter  
248 declined with the postural change from supine to sitting for ICA during HT in the elderly group  
249 and during NT in the young group (effect of posture,  $p = 0.048$ ). Also, the mean vessel diameter  
250 declined with the postural change from supine to sitting for ECA during HT in both age groups  
251 (effect of posture,  $p = 0.035$ ). We found a significant effect of interaction (heat  $\times$  age,  $p = 0.046$ )  
252 on the mean vessel diameter in the ICA. Furthermore, we found a significant effect of age on the  
253 conductance of the ECA ( $p = 0.018$ ). Heat stress increased the conductance of the ECA in both  
254 body postures and age groups (effect of heat,  $p < 0.001$ ). We also found a significant effect of  
255 interaction (heat  $\times$  posture  $\times$  age,  $p = 0.025$ ) on the conductance of the ICA.

256

257 **DISCUSSION**

258 The major findings in the present study were that the ICA blood flow declined markedly in the  
259 sitting position compared with the supine position during mild hyperthermia in the elderly group  
260 and during normothermia in the young group. Therefore, the CBF in aged but healthy individuals  
261 is impaired during exposure to dual stresses of heat and orthostatic changes, even if the level of  
262 each stress is mild and might not have induced substantial changes in the CBF as single stressors.  
263 Hence, the elderly populations could be at a potential risk for cerebral hypoperfusion in the  
264 situation with orthostatic challenge and heat stress during their daily living.

265 To date, limited studies have reported the effects of normal aging on the response of CBF to  
266 dual stresses, including heat and orthostatic changes, although many previous studies have  
267 reported CBF responses to heat stress and/or orthostatic stress in young adults. One previously  
268 study by Lucas et al. (Lucas et al. 2008) reported the effects of normal aging on the CBF response  
269 assessed by the MCAv during the dual stresses of hyperthermia and orthostatic changes. They  
270 observed that the decline in MCAv when changing from a supine position to standing in  
271 normothermic conditions was greater in older adults than in young adults. However, an increase  
272 in  $T_{es}$  of 0.5°C using a water-perfused suit did not exacerbate the postural responses in either age  
273 group (Lucas et al. 2008). In the present study, we observed that the ICA blood flow in elderly  
274 adults remained unchanged under the single stress of a postural change from supine to sitting or  
275 in mildly hyperthermic conditions, whereas it was impaired with the simultaneous application of  
276 those two stresses (Figure 2). The inconsistency of observations between the previous study and  
277 our study could be attributed primarily to differences in the methodology of CBF measurement.  
278 In the previous study, the CBF was estimated by blood flow velocity of the middle cerebral artery  
279 by using transcranial Doppler ultrasonography without vessel diameter and quantitative blood  
280 flow assessment. In the present study, we assessed blood flows through the ICA and VA for the  
281 volumetrically assessment of the CBF via ultrasonography. Additionally, the inconsistency could  
282 also be associated with differences in the time periods and levels of orthostatic stress and  
283 hyperthermia between studies. In the previous study, the orthostatic stress (3 minutes standing

284 from the supine position) was acute and lasted for a shorter period of time while higher for level  
285 compared with our study to induce a significant reduction in the CBF with standing, even during  
286 normothermic conditions in both age groups (Lucas et al. 2008). Meanwhile the level of heat  
287 stress in the previous study was lower than that in our study and would not have been high enough  
288 to induce a significant effect on the CBF response in conjunction with the orthostatic stress (Lucas  
289 et al. 2008).

290 The attenuated increase in HR in response to the orthostatic stress could be a possible  
291 mechanism in the reduction of ICA blood flow during the dual stresses of orthostatic change and  
292 hyperthermia observed in the elderly group (Figure 2). In fact, the increase in HR with orthostatic  
293 stress in the elderly group was totally abolished and substantially lower than in the young group  
294 as we observed a significant effect of interaction (posture  $\times$  age) in HR. An attenuated beta-  
295 responsiveness of the heart (Lakatta 1993b) and an attenuated increase in autonomic outflow to  
296 orthostatic stress (Minson et al. 1998) could have caused attenuation of HR changes in response  
297 to the orthostatic stress in the elderly subjects. However, we observed that the ICA blood flow in  
298 the elderly group was totally maintained with the orthostatic change despite an abolished HR  
299 response during NT. Consequently, the attenuated cardiovascular responsiveness to the orthostatic  
300 stress would not be a major mechanisms for the reduction in ICA blood flow during the dual  
301 stresses of orthostatic change and hyperthermia observed in the elderly group. Besides, the  
302 reduction in ICA blood flow might be occurred under a postural challenge with hypocapnia  
303 induced by hyperventilation as describe bellow.

304 The declined CBF during heat stress can be explained by hypocapnia resulting from heat stress-  
305 induced hyperventilation (Bain et al. 2013; Brothers et al. 2009; Low et al. 2008; Wilson et al.  
306 2006). To data, several studies have suggested that the effect of hyperventilation-induced  
307 hypocapnia on the CBF is smaller during mild heat stress than it is during severe heat stress (Fujii  
308 et al. 2015; Nelson et al. 2011). As we observed unchanged ICA and VA blood flows and  
309 conductance during hyperthermia in the supine position in both age groups, hypocapnia caused

310 by heat stress–induced hyperventilation, observed only in the young group (Table 2), would not  
311 induce a substantial reduction in the CBF at the level of hyperthermia in the present study. On the  
312 other hand, it is well-known that orthostatic stress also causes hypocapnia with hyperventilation  
313 (Lucas et al. 2008; Ogoh et al. 2013; Thomas et al. 2009) and both the ICA and VA should  
314 vasoconstrict during orthostatic stress. However, hypoperfusion does not occur solely because of  
315 a decrease in the arterial partial pressure of CO<sub>2</sub> and both arteries are affected differently by  
316 orthostatic stress (Ogoh et al. 2015). The increased hyperventilation with orthostatic stress in the  
317 young subjects compared to the elderly subjects (Table 2) would induce a significant reduction in  
318 ICA blood flow especially under normothermic conditions (Figure 2). The augmented response  
319 of HR and therefore CO to dual stresses in the young could compensate for the possible decrease  
320 in the ICA blood flow with hyperventilation under mild hyperthermia and orthostatic stress  
321 conditions. It is not clear whether cerebral CO<sub>2</sub> reactivity is altered by the heat stress, orthostatic  
322 stress, normal aging (Bain et al. 2015; Lee et al. 2014; Ogoh et al. 2014; Oudegeest-Sander et al.  
323 2014; Tymko et al. 2015), or a combination of these factors. We observed that the P<sub>ET</sub>CO<sub>2</sub> reduced  
324 markedly under both heat and orthostatic stresses in the young group. In contrast, it did not  
325 decrease under single stress of heat and orthostasis while it decreased with dual stresses of both in  
326 the elderly group. Thus, the lower arterial partial pressure of CO<sub>2</sub> observed under heat and  
327 orthostatic exposure in the elderly could be one of the factors that induces a reduction in ICA  
328 blood flow.

329 The blood flow through the ICA, which supplies a large portion of the anterior brain, and the  
330 VA, which nourishes posterior brain, are affected differently by the increased body temperatures  
331 (Bain et al. 2013; Ogoh et al. 2013b) and by orthostatic stress (Ogoh et al. 2015; Sato et al. 2012).  
332 We reported that blood flow in the VA remained unchanged both with the orthostatic and heat  
333 stresses and with dual stresses of those, different from the response in the ICA (Figure 2). Our  
334 observations differ from other studies reported that the ICA and VA blood flow reduced with mild  
335 (1.4°C) to severe (2°C) hyperthermia similar extent or more prominently in the VA (Bain et al.

336 2013; Ogoh et al. 2013b). The discrepancy appears to be related to the intensity of hyperthermia.  
337 Conversely, our observations support previous studies reporting unchanged blood flow in the VA  
338 during orthostatic stress by head-up tilt (Sato et al. 2012) or by lower body negative pressure  
339 (Ogoh et al. 2015). Experimentally, the mean vessel diameter in the ICA was decreased in the  
340 sitting position compared with the supine position while it in the VA remained unchanged (Table  
341 3). The reduction in the ICA diameter was related to the hydrostatic pressure difference between  
342 body positions (Sato et al. 2012). The unchanged diameter of the VA with orthostatic stress would  
343 be explained by a difference in mechanical properties of the vessels for a change in hydrostatic  
344 pressure compared to the ICA (Sato et al. 2012), or CO<sub>2</sub> reactivity (Ogoh et al. 2015). In addition,  
345 it has been reported that dynamic cerebral autoregulation was not impaired with mild heat stress  
346 (Low et al. 2009) and was not altered with healthy aging (Oudegeest-Sander et al. 2014), however  
347 dynamic cerebral autoregulation might be impaired with dual stresses of orthostatic challenge and  
348 hyperthermia with combination of aging. Nonetheless, we have not assess CO<sub>2</sub> reactivity nor  
349 dynamic cerebral autoregulation in the present study. Given the low subject numbers collected for  
350 the VA, further investigations in this artery would be required to elucidate the mechanisms for the  
351 different response between arteries.

352 Heat stress modified the blood flow distribution due to a large increase in skin blood flow for  
353 thermoregulation (Kenney and Anderson 1988). The ECA supplies superficial regions of the head  
354 and is associated with the thermoregulatory control of skin blood flow (Ogoh et al. 2013a). Blood  
355 flow to the ECA and ICA originate from the common carotid artery. Therefore, a large increase  
356 in the ECA blood flow causes a decline in the ICA blood flow. Importantly, although  
357 thermoregulatory control of skin blood flow is known to decrease with aging, there are site-  
358 specific differences in the decline, i.e., the sweat rate and blood flow to the skin of the forehead  
359 in elderly individuals is similar to young individuals (Inoue et al. 1991; Smith et al. 2013).  
360 Evidently, the response to increased ECA blood flow from mild hyperthermia was similar between  
361 the elderly and the young groups regardless of the orthostatic changes (Figure 2). Thus, the



362 response in the ECA blood flow to the heat both at the supine and sitting positions was not  
363 enhanced but was maintained with normal aging, and thus would not cause a reduction in the ICA  
364 blood flow under mild hyperthermia and postural changes, as was observed in the elderly subjects.

365 The present study indicates that the CBF in aged but healthy individuals is impaired under the  
366 dual stresses of heat and orthostatic changes, even if these stresses are mild and would not induce  
367 substantial changes in the CBF as single stressors, those of which would happen and be familiar  
368 in daily life. The elderly may be particularly susceptible to cerebral thrombosis, syncope, and  
369 ischemia in these situations. Indeed, marked elevations in mortality among the elderly during heat  
370 waves have been thoroughly documented (Kenney et al. 2014). Therefore, countermeasures to  
371 prevent decreases in the CBF in these situations is warranted.

372

### 373 **Limitations**

374 There are several potential limitations in the present study. First, the elderly and the young  
375 subjects were healthy and had no overt history of cardiovascular, metabolic, or pulmonary  
376 diseases. With advancing age, the morbidity of these diseases, which are known to decrease  
377 cardiovascular and thermoregulatory functions, generally increase (Wilson et al. 1998). Therefore,  
378 our results may underestimate the effects of aging in the general population. Second, although all  
379 the subjects were active but not engaged in any regular exercise training protocol, we have not  
380 assessed the level of physical fitness that may have a significant effect on the results, since  
381 cardiovascular and thermoregulatory responses are generally improved with the increased levels  
382 of physical fitness (Greenhaff 1989). Third, only men were included. Cardiovascular control of  
383 the circulation is generally different between the sexes (Spina et al. 1993). Therefore, the present  
384 results may not be applicable to women. Fourth, the amount of orthostatic stress associated with  
385 the postural change from the supine to the sitting position might be lower in the elderly than in  
386 the young group because of the decreased lower body vascular compliance that occurs with aging  
387 (Fu et al. 2002). This may have potentially influenced our results. Fifth concern is the validity and

388 reliability of CBF measurements using ultrasonography. Ultrasonography measurements using a  
389 hand-held transducer are expected to be less reproducible because it is difficult to hold the  
390 measurement position fixed for several minutes. Furthermore, we did not use continuous  
391 assessment with advanced edge detection and wall-tracking software which greatly reduces inter-  
392 rater bias and variability (Bain et al. 2013; Woodman et al. 2001) in the present study. By using  
393 the software, we could assess more robust and complete quantification of the CBF. However, the  
394 coefficient of variation in the test-retest measurements for another set of subjects (n = 6) by the  
395 sonographer in this study was 5.2% in the ECA and were ~5% or less in the other arteries during  
396 the controlled supine and sitting conditions. The reproducibility of the measurement is similar to  
397 other previous studies (Sato et al. 2012). The next concern is a relatively small number of subjects.  
398 In particular, it was difficult to get reliable images for the VA blood flow assessment for some  
399 elderly subjects and only five data were obtained and included for analysis. Thus, further  
400 investigation is warranted to elucidate the difference in response between neck arteries. Lastly,  
401 the BP measurement was intermittent and not beat-by-beat in this study. Hence, it is impossible  
402 to observe beat-by beat conductance in each artery and assess the effects of baroreflex function  
403 that might potentially change with aging.

404

## 405 **CONCLUSIONS**

406 Our findings suggest that cerebral blood flow is impaired under the dual stresses of heat and  
407 orthostatic changes in aged but healthy individuals, even if the levels of the stresses are mild, such  
408 as in mild hyperthermia and the postural change from the supine to sitting. The present  
409 observations might explain the higher incidence of heat-related illnesses such as heat exhaustion  
410 and syncope in the elderly population.

411

412 **Acknowledgements**

413 We are very grateful to the volunteers who participated in this study. We also thank Dr.  
414 Yoshihiro Yamashina and Mr. Yoshikazu Hirasawa from our laboratory for useful comments and  
415 suggestions regarding this manuscript.

416 This study was supported in part by a Grant-in-Aid for Scientific Research (C), grand  
417 number 17K01656 (to A. Ota), and by a Grant-in-Aid for Scientific Research (B), grand number  
418 17H03741 (to K. Okazaki) from Japan Society for the Promotion of Science.

419 The authors declare that they have no conflicts of interest.

420

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- 554
- 555

556 **Figure legend**

557 Figure 1

558 Experimental protocol and the posture of subjects. The order of the measurement in each body  
559 position counterbalanced (four subjects in the elderly group and four subjects in the young group  
560 underwent the measurement in the supine position first, while the other subjects in each group  
561 underwent the measurement in the sitting position first).

562

563 Figure 2

564 Blood flow in the neck arteries in the supine (Sup) and sitting positions (Sit) during normothermia  
565 (NT) and mild hyperthermia (HT). ICA: internal carotid artery; ECA: external carotid artery; VA:  
566 vertebral artery. Means  $\pm$  SEM for each group were shown in bar graphs with individual data. \*P  
567 < 0.05. n = 9 for the elderly and n = 8 for the young groups, except for VA, n = 5 for the elderly.



**TABLE 1. Subjects' characteristics**

	Elderly (n = 9)	Young (n = 8)
Age (yrs)	71.3 ± 3.0*	23.3 ± 3.1
Height (cm)	165 ± 7*	175 ± 6
Body weight (kg)	61.2 ± 3.8	65.9 ± 12.8
BMI (kg/m <sup>2</sup> )	22.6 ± 1.3	21.3 ± 3.2

Values are means ± SD. P < 0.05 vs Elderly. BMI, body mass index; \* P < 0.05 vs. Young.

**TABLE 2. Body temperature and cardiovascular responses in the supine and sitting positions under normothermia and mild hyperthermia**

	Elderly (n = 9)					Young (n = 8)					ANOVA p values	
	Normothermia			Hyperthermia		Normothermia			Hyperthermia		Interactions	Main effects
	Baseline	Supine	Sitting	Supine	Sitting	Baseline	Supine	Sitting	Supine	Sitting		
<b>Body temperature</b>												
T <sub>es</sub> (°C)	36.5 ± 0.2	36.3 ± 0.2	36.4 ± 0.1†	37.4 ± 0.2*	37.4 ± 0.2*	36.8 ± 0.1	36.7 ± 0.1	36.7 ± 0.1†	37.4 ± 0.1*	37.5 ± 0.1*†	ns	heat (p<0.001), posture (p=0.001)
T <sub>sk</sub> (°C)	33.2 ± 0.2	33.1 ± 0.4	33.1 ± 0.3	35.3 ± 0.3*	34.6 ± 0.4*†	33.0 ± 0.2	33.3 ± 0.4	33.1 ± 0.3	34.4 ± 0.3*	34.3 ± 0.4*†	ns	heat (p<0.001), posture (p=0.018)
<b>Cardiovascular response</b>												
HR (bpm)	63 ± 2	61 ± 2	62 ± 2	79 ± 3*	76 ± 3*	62 ± 4	54 ± 5	63 ± 4†	74 ± 4*	85 ± 4*†	posture × age (p=0.002)	heat (p<0.001), posture (p=0.005)
SBP (mmHg)	126 ± 5	134 ± 6	126 ± 6	124 ± 4	121 ± 5	115 ± 3	116 ± 4	116 ± 3	119 ± 4	118 ± 3	ns	ns
DBP (mmHg)	73 ± 2	78 ± 3	73 ± 3	77 ± 3	69 ± 3	71 ± 3	69 ± 3	69 ± 2	69 ± 5	72 ± 2	posture × age (p=0.018)	ns
PP (mmHg)	53 ± 3	56 ± 4	53 ± 5	48 ± 3	52 ± 4	45 ± 2	47 ± 3	47 ± 2	54 ± 4	46 ± 2	ns	ns
MBP (mmHg)	91 ± 3	97 ± 4	90 ± 4	93 ± 3	86 ± 3	86 ± 3	85 ± 3	85 ± 2	85 ± 4	88 ± 2	posture × age (p=0.028)	ns
P <sub>ET</sub> CO <sub>2</sub> (mmHg)	31.5 ± 1.2	30.7 ± 1.1‡	30.1 ± 1.1‡	30.2 ± 1.2‡	29.0 ± 0.7‡	36.6 ± 0.4	38.3 ± 1.2	36.1 ± 0.4†	34.6 ± 1.8*	32.2 ± 1.1*†	ns	age (p<0.001), heat (p=0.013), posture (p=0.006)

Values are means ± SEM. T<sub>es</sub>, esophageal temperature; T<sub>sk</sub>, mean skin temperature; HR, heart rate; SBP, systolic blood pressure; DBP, diastolic blood pressure; PP, pulse pressure; MBP, mean blood pressure; P<sub>ET</sub>CO<sub>2</sub>, partial pressure of end-tidal carbon dioxide; ANOVA, analysis of variance. \*P < 0.05 vs. Normothermia; †P < 0.05 vs. Supine; and ‡P < 0.05 vs. Young.

**TABLE 3. Mean blood flow velocity, mean vessel diameter, and conductance in the neck arteries measured in the supine and sitting positions during normothermia and mild-hyperthermia.**

	Elderly (n = 9)				Young (n = 8)				ANOVA p values	
	Normothermia		Hyperthermia		Normothermia		Hyperthermia		Interactions	Main effects
	Supine	Sitting	Supine	Sitting	Supine	Sitting	Supine	Sitting		
Mean blood flow velocity (cm/sec)										
ICA	21.4 ± 1.4	20.2 ± 1.0	22.6 ± 1.3	22.0 ± 1.2	24.3 ± 1.2	22.6 ± 1.0	25.7 ± 1.9	24.4 ± 1.1	ns	ns
ECA	14.4 ± 1.0‡	13.1 ± 0.7‡	20.5 ± 1.2*‡	19.2 ± 1.4*‡	18.4 ± 1.8	19.0 ± 2.0	24.8 ± 1.5*	25.4 ± 2.3*	ns	heat (p<0.001)
VA	15.4 ± 1.5‡	15.0 ± 1.5‡	16.3 ± 1.1‡	15.9 ± 0.8‡	20.8 ± 1.3	20.8 ± 0.8	21.2 ± 1.2	21.4 ± 1.4	ns	age (p=0.001)
Mean vessel diameter (cm)										
ICA	0.49 ± 0.03	0.49 ± 0.03	0.49 ± 0.03	0.44 ± 0.02†	0.47 ± 0.02	0.44 ± 0.02†	0.47 ± 0.02	0.46 ± 0.02	heat × age (p=0.046)	posture (p=0.048)
ECA	0.38 ± 0.03	0.37 ± 0.02	0.44 ± 0.02	0.41 ± 0.02†	0.39 ± 0.02	0.40 ± 0.03	0.43 ± 0.02	0.39 ± 0.01†	ns	posture (p=0.035)
VA	0.35 ± 0.02	0.35 ± 0.02	0.34 ± 0.02	0.35 ± 0.02	0.29 ± 0.02	0.28 ± 0.02	0.29 ± 0.02	0.29 ± 0.02	ns	ns
Conductance (ml min <sup>-1</sup> mmHg <sup>-1</sup> )										
ICA	2.58 ± 0.39	2.98 ± 0.47	2.75 ± 0.50	2.47 ± 0.34	3.55 ± 0.22	2.89 ± 0.24	3.67 ± 0.18	3.30 ± 0.24	heat × posture × age (p=0.025)	ns
ECA	0.96 ± 0.16‡	1.01 ± 0.07‡	2.24 ± 0.24*‡	1.93 ± 0.18*	1.97 ± 0.36	2.00 ± 0.41	3.05 ± 0.31*	2.52 ± 0.36*	ns	heat (p<0.001), age (p=0.018)
VA	0.83 ± 0.08	1.04 ± 0.12	0.98 ± 0.10	1.05 ± 0.13	1.24 ± 0.19	1.13 ± 0.12	1.21 ± 0.17	1.20 ± 0.17	ns	ns

Values are mean ± SEM. ICA, internal carotid artery; ECA, external carotid artery; VA, vertebral artery; ANOVA, analysis of variance. \*P < 0.05 vs. Normothermia; †P < 0.05 vs. Supine; and ‡P < 0.05 vs. Young.

Values for VA are for 4 subjects in the elderly group, and for 6 subjects in the young group.

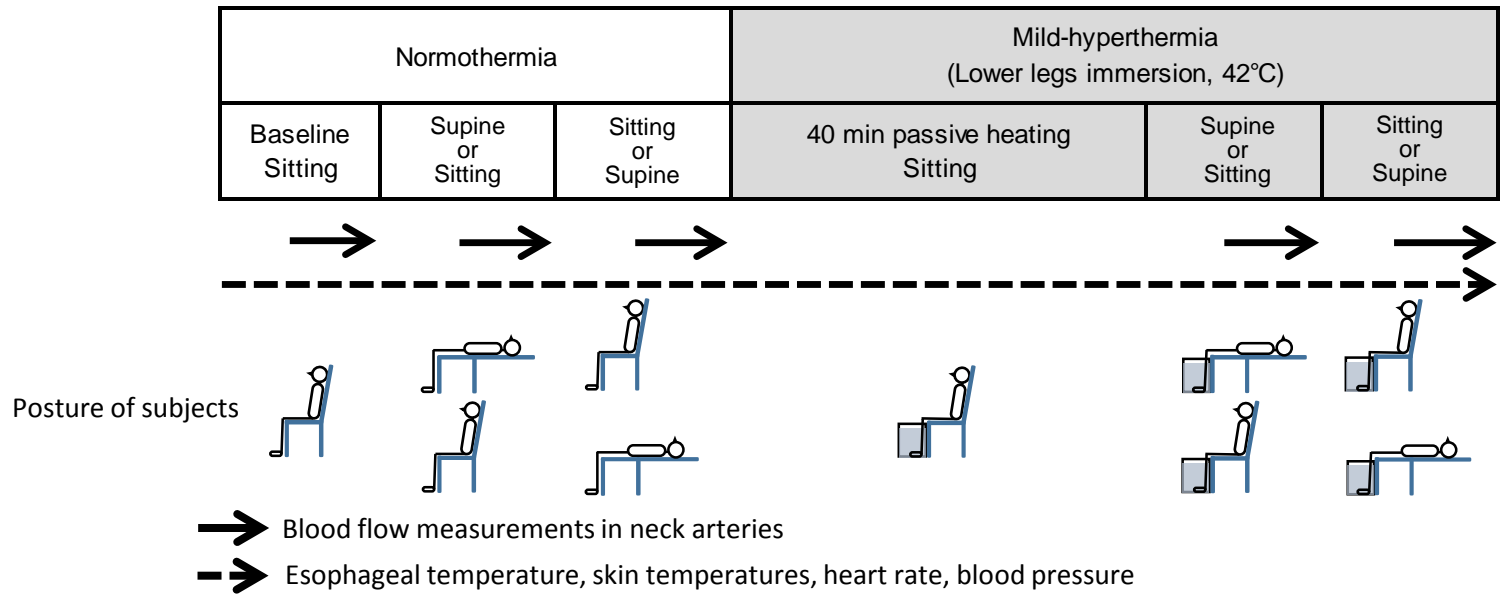
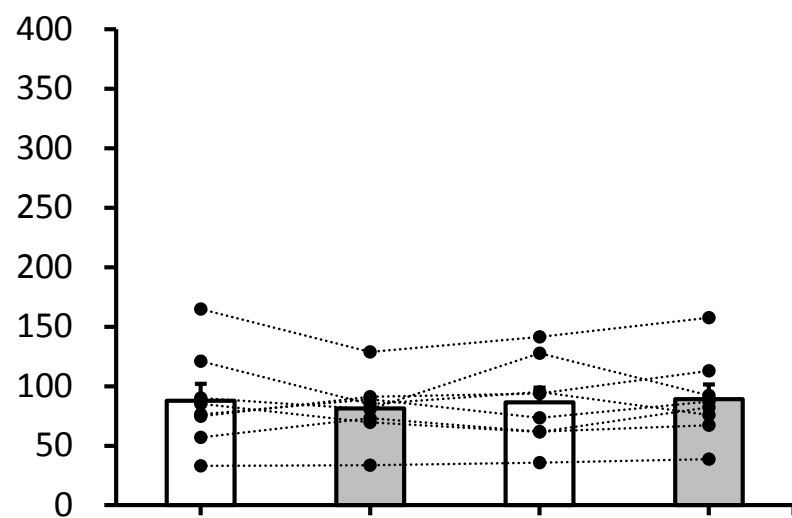
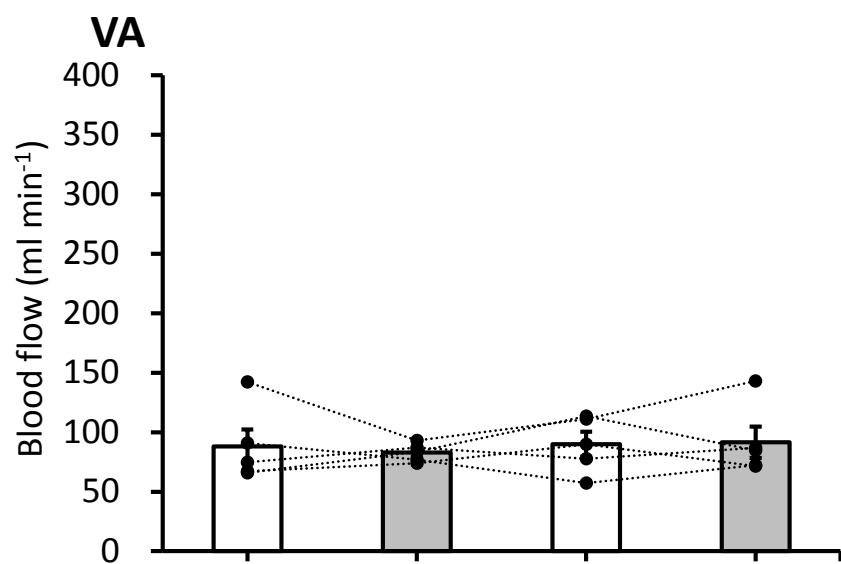
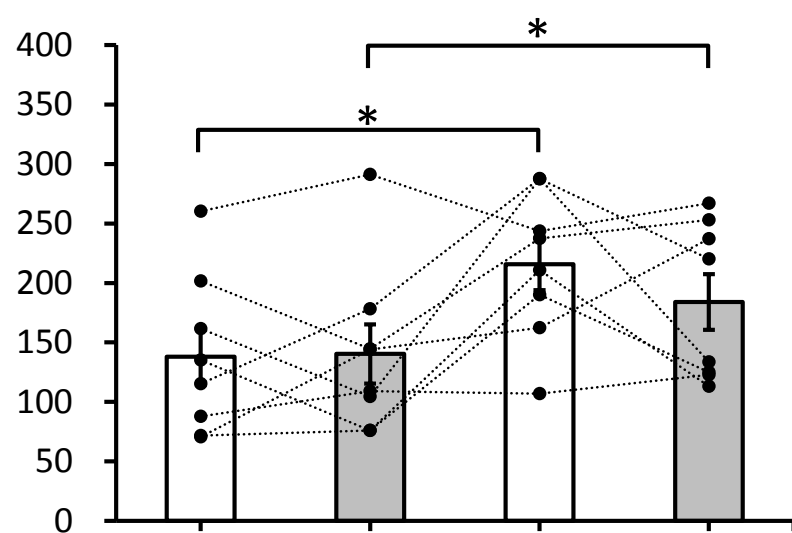
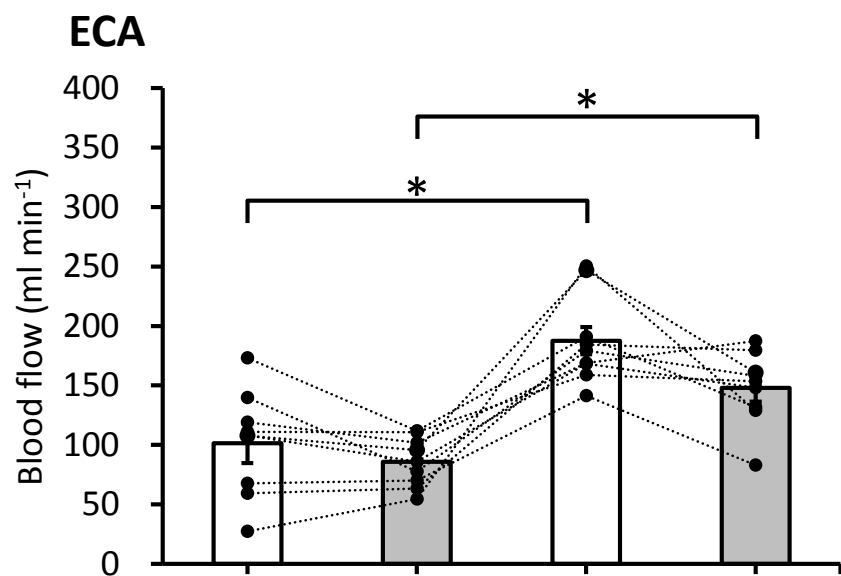
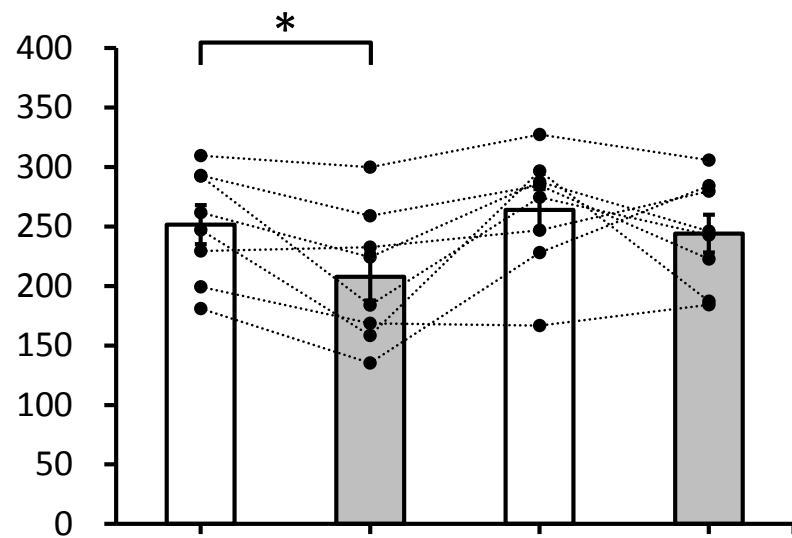
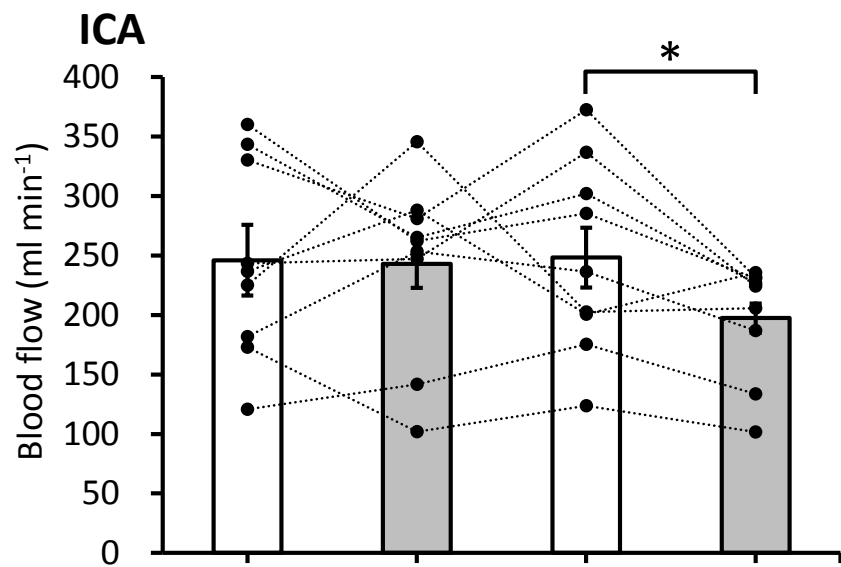


Figure 1



Sup Sit Sup Sit  
NT HT

Elderly

Sup Sit Sup Sit  
NT HT

Young

Figure 2