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SHARP HARDY-LERAY INEQUALITY FOR SOLENOIDAL FIELDS

NAOKI HAMAMOTO

ABSTRACT. This paper refines the former work by Costin-Maz'ya [4], who computed the best constant of Hardy-Leray inequality for solenoidal vector fields on \mathbb{R}^N under the additional assumption of axisymmetry for $N \geq 3$. We derive the same best constant without any symmetry assumption; this is also a higher-dimensional extension of the previous work [5] in the three-dimensional case. Moreover, we provide some information about the non-attainability of the equality sign.

1. INTRODUCTION

Throughout this paper, N denotes an integer and $N \geq 3$. From the viewpoint of standard vector calculus on \mathbb{R}^N , we study the functional inequality for vector fields together with its improvement, called the Hardy-Leray inequality.

We use bold letters to denote vectors, say $\mathbf{x} = (x_1, x_2, \dots, x_N) \in \mathbb{R}^N$. The notation $\mathbf{x} \cdot \mathbf{y} = \sum_{k=1}^N x_k y_k$ denotes the standard inner product of two vectors, and we set $|\mathbf{x}| = \sqrt{\mathbf{x} \cdot \mathbf{x}}$ as the length of \mathbf{x} . By writing $\mathbf{u} \in C_c^\infty(\Omega)^N$ for any open subset Ω of \mathbb{R}^N , we mean that

$$\mathbf{u} : \Omega \rightarrow \mathbb{R}^N, \quad \mathbf{x} \mapsto \mathbf{u}(\mathbf{x}) = (u_1(\mathbf{x}), \dots, u_N(\mathbf{x}))$$

is a smooth vector field with compact support on Ω .

§1.1. Preceding results and motivation. The classical Hardy-Leray inequality (or shortly H-L inequality) on \mathbb{R}^N is given by

$$\left(\frac{N-2}{2}\right)^2 \int_{\mathbb{R}^N} \frac{|\mathbf{u}|^2}{|\mathbf{x}|^2} dx \leq \int_{\mathbb{R}^N} |\nabla \mathbf{u}|^2 dx$$

for a vector field \mathbf{u} together with its gradient field $\nabla \mathbf{u}$, where the constant number $\left(\frac{N-2}{2}\right)^2$ is known to be sharp as the test field \mathbf{u} runs over $C_c^\infty(\mathbb{R}^N)^N$. This inequality was shown by J. Leray [9] for $N = 3$ along his study on the Navier-Stokes equations, as an extension of the 1-dimensional inequality by G. H. Hardy [8].

Now, we are interested in the problem whether the best constant of the H-L inequality can be changed to exceed $\left(\frac{N-2}{2}\right)^2$, by imposing \mathbf{u} to be *solenoidal* (namely divergence-free). This is a natural question in the context of hydrodynamics, as asked by O. Costin and V. G. Maz'ya [4]; they derived the improved H-L inequality

$$\left(\frac{N-2}{2}\right)^2 \left(1 + \frac{8}{N^2 + 4N - 4}\right) \int_{\mathbb{R}^N} \frac{|\mathbf{u}|^2}{|\mathbf{x}|^2} dx \leq \int_{\mathbb{R}^N} |\nabla \mathbf{u}|^2 dx$$

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for solenoidal fields \mathbf{u} with the new best constant on the left-hand side, under the additional assumption that \mathbf{u} is axisymmetric. Here, by saying that a vector field is axisymmetric, we mean that all its components along the cylindrical coordinates depend only on the axial distance and the height. The addition of such a symmetry assumption to the solenoidal condition on \mathbf{u} simplifies and helps the calculation of the new best constant, without affecting the “core” part: one can easily check, in the original H-L inequality, that the condition of axisymmetry alone has no effect on changing the best constant from $(\frac{N-2}{2})^2$. In that sense, the axisymmetry assumption seems to play a technical rather than essential role. Hence we may also think that it can be weakened or removed, in order to get a “pure” solenoidal improvement of H-L inequality.

In view of this observation, there was an advance in the three-dimensional case: The author of the present paper, in his recent joint work [7] with F. Takahashi, proved (as a corollary of their main theorem) that the case $N = 3$ of Costin-Maz'ya's inequality

$$\frac{25}{68} \int_{\mathbb{R}^3} \frac{|\mathbf{u}|^2}{|\mathbf{x}|^2} dx \leq \int_{\mathbb{R}^3} |\nabla \mathbf{u}|^2 dx$$

still holds for solenoidal fields \mathbf{u} on \mathbb{R}^3 , by only assuming the azimuthal component (not the full components) of \mathbf{u} to be axisymmetric; so to speak, they succeeded in relaxing the axisymmetry assumption. Moreover, this result was further refined in [5], where it was shown that the above inequality does hold for solenoidal fields without any symmetry assumption at all. Hence it follows that the axisymmetry assumption for $N = 3$ is completely removable from the solenoidal improvement of H-L inequality. As a matter of course, then it is expected that the same also applies to the higher dimensional case $N > 3$; this is the main theme of our study.

As a side note, there is another type of improvement: it is also natural to consider the curl-free condition (in place of the solenoidal one) in the treatment of H-L inequality. Some topics related to this issue can be found in [6].

§1.2. Main result. In the same fashion as the preceding works, we concern a solenoidal improvement of the H-L inequality with weight,

$$\left(\gamma + \frac{N}{2} - 1\right)^2 \int_{\mathbb{R}^N} \frac{|\mathbf{u}|^2}{|\mathbf{x}|^2} |\mathbf{x}|^{2\gamma} dx \leq \int_{\mathbb{R}^N} |\nabla \mathbf{u}|^2 |\mathbf{x}|^{2\gamma} dx \quad (\gamma \in \mathbb{R}),$$

which includes the classical H-L inequality as the special case $\gamma = 0$; historically, the case $\gamma \neq 0$ was found by Caffarelli-Kohn-Nirenberg [3] in a more generalized form.

Now, we state our main result as follows:

Theorem 1.1. *Let $\mathbf{u} \in C_c^\infty(\mathbb{R}^N)^N$ be a solenoidal field. We assume the additional condition that $\mathbf{u}(\mathbf{0}) = \mathbf{0}$ if $\gamma \leq 1 - \frac{N}{2}$. Then the inequality*

$$C_{N,\gamma} \int_{\mathbb{R}^N} \frac{|\mathbf{u}|^2}{|\mathbf{x}|^2} |\mathbf{x}|^{2\gamma} dx \leq \int_{\mathbb{R}^N} |\nabla \mathbf{u}|^2 |\mathbf{x}|^{2\gamma} dx \quad (1.1)$$

holds with the best constant $C_{N,\gamma}$ expressed as

$$C_{N,\gamma} = \left(\gamma + \frac{N}{2} - 1\right)^2 + \min \left\{ N - 1, 2 + \min_{\tau \geq 0} \left(\tau + \frac{4(N-1)(\gamma-1)}{\tau + N - 1 + (\gamma - \frac{N}{2})^2} \right) \right\}. \quad (1.2)$$

Remark 1.2. *Let us restrict ourselves to the case $\gamma \leq 1$ in Theorem 1.1. Then the inequality (1.1), under the same assumption on \mathbf{u} , can be strengthened into*

$$C_{N,\gamma} \int_{\mathbb{R}^N} \frac{|\mathbf{u}|^2}{|\mathbf{x}|^2} |\mathbf{x}|^{2\gamma} dx + \mathcal{R}_{N,\gamma}[\mathbf{u}] \leq \int_{\mathbb{R}^N} |\nabla \mathbf{u}|^2 |\mathbf{x}|^{2\gamma} dx \quad (1.3)$$

for the same constant $C_{N,\gamma} = \left(\gamma + \frac{N}{2} - 1\right)^2 \frac{(\gamma - \frac{N}{2})^2 + N + 1}{(\gamma - \frac{N}{2})^2 + N - 1}$ as (1.2), together with the additional nonnegative term $\mathcal{R}_{N,\gamma}[\mathbf{u}]$ given by the expression:

$$\begin{aligned} \mathcal{R}_{N,\gamma}[\mathbf{u}] &= \int_{\mathbb{R}^N} \left| \mathbf{x} \cdot \nabla (|\mathbf{x}|^{\gamma + \frac{N}{2} - 1} \mathbf{u}) \right|^2 |\mathbf{x}|^{-N} dx \\ &\quad + \frac{4(1-\gamma)(N-1)}{(\gamma - \frac{N}{2})^2 + N - 1} \int_{\mathbb{R}^N} \left| (\mathbf{x} \cdot \nabla) \nabla_\sigma \Delta_\sigma^{-1} \left(|\mathbf{x}|^{\gamma + \frac{N}{2} - 2} \mathbf{x} \cdot \mathbf{u} \right) \right|^2 |\mathbf{x}|^{-N} dx. \end{aligned}$$

Here ∇_σ and Δ_σ are respectively the spherical gradient and spherical Laplacian (§2.1). Moreover, the equality sign of (1.3) is attained if and only if the equations

$$-\Delta_\sigma(\mathbf{x} \cdot \mathbf{u}) = (N-1)\mathbf{x} \cdot \mathbf{u} \quad \text{and} \quad \begin{cases} -\Delta_\sigma \mathbf{u}_T = 2\mathbf{u}_T & \text{for } (N, \gamma) = (3, 1) \\ \mathbf{u}_T = \mathbf{0} & \text{otherwise} \end{cases}$$

hold on $\mathbb{R}^N \setminus \{\mathbf{0}\}$, where \mathbf{u}_T denotes the toroidal part (§3.2) of \mathbf{u} .

As an easy consequence of this remark, it follows that the equality sign in the inequality (1.1) for $\gamma \leq 1$ is never attained by any solenoidal field $\mathbf{u} \neq \mathbf{0}$. For $\gamma > 1$, however, we do not have much knowledge about the attainability.

The proof of Theorem 1.1 is parallel to the previous work [5] where the special case $N = 3$ was proved by applying a so-called poloidal-toroidal (or shortly PT) decomposition theorem of solenoidal fields. The PT theorem in our study, which originates from G. Backus [1] on \mathbb{R}^3 , is still applicable to the case of \mathbb{R}^N ($N \geq 3$), and it enables us to separate the calculation of the best constant $C_{N,\gamma}$ into two computable parts. However, some techniques on \mathbb{R}^3 , employed in the previous work, is not allowed in the higher-dimensional case: we cannot use the ‘‘cross product’’ of vectors in general \mathbb{R}^N , and furthermore, there is no way to represent every toroidal field in terms of a single-scalar potential. To avoid such a difficulty, we derive with a simple proof the spherical zero-mean property of toroidal fields, from which one can easily deduce such as a Poincaré-type estimate.

Incidentally, we also point out that there is an advanced formalization by N. Weck [10], who gave a very general PT theorem in the framework of differential forms. Then the PT theorem in our discussion can be viewed as a simple case of his one, by identifying solenoidal fields with coclosed 1-forms. However, our approach is based on the standard vector calculus and does not need such as differential forms.

The remaining content of this paper is organized as follows: Section 2 reviews vector calculus on $\mathbb{R}^N \setminus \{\mathbf{0}\}$ in terms of radial-spherical variables. Section 3 gives a systematic introduction to the concept of PT fields and establishes the PT decomposition theorem on \mathbb{R}^N , together with some formulae or estimates. Section 4 gives the proof of Theorem 1.1 (and Remark 1.2), where we compute the best constant $C_{N,\gamma}$ by making full use of the content of Section 3.

2. STANDARD VECTOR CALCULUS ON $\dot{\mathbb{R}}^N \cong \mathbb{R}_+ \times \mathbb{S}^{N-1}$

In what follows, we basically use the notations

$$\dot{\mathbb{R}}^N = \{\mathbf{x} \in \mathbb{R}^N; \mathbf{x} \neq \mathbf{0}\} \quad \text{and} \quad \mathbb{S}^{N-1} = \{\mathbf{x} \in \mathbb{R}^N; |\mathbf{x}| = 1\}.$$

for the subsets of \mathbb{R}^N . We review gradient or Laplace operators acting on vector fields on $\dot{\mathbb{R}}^N$ and derive some basic formulae, in terms of radial-spherical variables.

§2.1. Radial-spherical decomposition of operators. From the viewpoint of differential geometry, $\dot{\mathbb{R}}^N$ is a smooth manifold diffeomorphic to the product of the half line $\mathbb{R}_+ = \{r \in \mathbb{R}; r > 0\}$ and the $(N-1)$ -dimensional unit sphere \mathbb{S}^{N-1} , which we denote by $\dot{\mathbb{R}}^N \cong \mathbb{R}_+ \times \mathbb{S}^{N-1}$. Indeed, every $\mathbf{x} \in \dot{\mathbb{R}}^N$ can be uniquely written as

$$\mathbf{x} = r\boldsymbol{\sigma}$$

in terms of the radius $r > 0$ and the unit vector $\boldsymbol{\sigma} \in \mathbb{S}^{N-1}$ given by

$$r = |\mathbf{x}| \quad \text{and} \quad \boldsymbol{\sigma} = \frac{\mathbf{x}}{|\mathbf{x}|}. \quad (2.1)$$

Now let $\mathbf{u} = (u_1, u_2, \dots, u_N) : \dot{\mathbb{R}}^N \rightarrow \mathbb{R}^N$ be a vector field, and let $\boldsymbol{\sigma} : \dot{\mathbb{R}}^N \rightarrow \mathbb{S}^{N-1}$ be the unit vector field given by the second equation of (2.1). Then there exists a unique pair of scalar field $u_R \in C^\infty(\dot{\mathbb{R}}^N)$ and vector field $\mathbf{u}_S \in C^\infty(\dot{\mathbb{R}}^N)^N$ satisfying

$$\mathbf{u} = \boldsymbol{\sigma}u_R + \mathbf{u}_S \quad \text{and} \quad \boldsymbol{\sigma} \cdot \mathbf{u}_S = 0 \quad \text{on } \dot{\mathbb{R}}^N,$$

which we call the radial-spherical decomposition of \mathbf{u} .

Here let us consider the following two derivative operators. The gradient operator $\nabla = (\frac{\partial}{\partial x_1}, \dots, \frac{\partial}{\partial x_N})$ resp. Laplacian $\Delta = \sum_{k=1}^N \frac{\partial^2}{\partial x_k^2}$ maps every scalar field f to the vector field ∇f resp. scalar field Δf . In order to extract only the spherical part of them, we introduce two derivatives: the spherical gradient ∇_σ and spherical Laplacian Δ_σ (known as the Laplace-Beltrami operator) are defined for all $f \in C^\infty(\mathbb{S}^{N-1})$ by the formulae

$$\nabla_\sigma f = \nabla \dot{f} \quad \text{and} \quad \Delta_\sigma f = \Delta \dot{f} \quad \text{on } \mathbb{S}^{N-1},$$

where $\dot{f}(\mathbf{x}) = f(\mathbf{x}/|\mathbf{x}|)$ is the degree-zero homogeneous extension of f . When ∇_σ or Δ_σ acts on any $f \in C^\infty(\dot{\mathbb{R}}^N)$, such an operation is understood by regarding $f(\mathbf{x}) = f(r\boldsymbol{\sigma})$ as a function of $\boldsymbol{\sigma} \in \mathbb{S}^{N-1}$ for every fixed radius r . Then it turns out that those operators are related by the well-known identities

$$\nabla = \boldsymbol{\sigma}\partial_r + r^{-1}\nabla_\sigma \quad \text{and} \quad \Delta = \partial_r' \partial_r + r^{-2}\Delta_\sigma. \quad (2.2)$$

Here

$$\partial_r := \boldsymbol{\sigma} \cdot \nabla = \sum_{k=1}^N \frac{x_k}{|\mathbf{x}|} \frac{\partial}{\partial x_k} \quad \text{resp.} \quad \partial_r' := \partial_r + \frac{N-1}{r}$$

denotes the radial derivative resp. its skew L^2 adjoint, in the sense that

$$\int_{\mathbb{R}^N} f \partial_r g dx = - \int_{\mathbb{R}^N} g \partial_r' f dx$$

holds for all $f, g \in C_c^\infty(\dot{\mathbb{R}}^N)$. As a simple application of (2.2), we get the formulae $\nabla r = \boldsymbol{\sigma}$ and

$$\Delta r^\lambda = \alpha_\lambda r^{\lambda-2}, \quad \text{where} \quad \alpha_\lambda := \lambda(\lambda + N - 2) \quad \forall \lambda \in \mathbb{R}. \quad (2.3)$$

When the gradient or Laplace operator acts on vector fields, such an operation is componentwise: for $\mathbf{u} \in C^\infty(\dot{\mathbb{R}}^N)^N$,

$$\begin{aligned} \nabla \mathbf{u} &= (\nabla u_1, \dots, \nabla u_N) \in C^\infty(\dot{\mathbb{R}}^N)^{N \times N} \\ \text{resp. } \Delta \mathbf{u} &= (\Delta u_1, \dots, \Delta u_N) \in C^\infty(\dot{\mathbb{R}}^N)^N, \\ (\text{as well as } \partial_r \mathbf{u} &= (\partial_r u_1, \dots, \partial_r u_N) \in C^\infty(\dot{\mathbb{R}}^N)^N,) \end{aligned}$$

and the same also applies to ∇_σ resp. Δ_σ . The divergence of \mathbf{u} is given by $\text{div } \mathbf{u} = \nabla \cdot \mathbf{u} = \sum_{k=1}^N \partial u_k / \partial x_k$ as the trace part of the matrix field $\nabla \mathbf{u}$. The spherical divergence of \mathbf{u} , which we denote by $\nabla_\sigma \cdot \mathbf{u}_S$, is defined as the trace part of $\nabla_\sigma \mathbf{u}_S$. Then a direct calculation by using (2.2) yields

$$\nabla_\sigma \cdot \boldsymbol{\sigma} = r^{-1} \nabla_\sigma \cdot (r \boldsymbol{\sigma}) = \nabla \cdot \mathbf{x} - \boldsymbol{\sigma} \partial_r \cdot (r \boldsymbol{\sigma}) = N - 1,$$

from which we further get

$$\begin{aligned} \text{div } \mathbf{u} &= (\boldsymbol{\sigma} \partial_r + r^{-1} \nabla_\sigma) \cdot (\boldsymbol{\sigma} u_R + \mathbf{u}_S) \\ &= \partial_r u_R + r^{-1} (\nabla_\sigma \cdot \boldsymbol{\sigma}) u_R + r^{-1} \nabla_\sigma \cdot \mathbf{u}_S \\ &= \partial'_r u_R + r^{-1} \nabla_\sigma \cdot \mathbf{u}_S \quad \text{on } \dot{\mathbb{R}}^N \end{aligned} \tag{2.4}$$

as a radial-spherical representation of the divergence. We can deduce from this result the following elementary fact:

Lemma 2.1. *For all $f \in C^\infty(\dot{\mathbb{R}}^N)$, the identity*

$$\nabla_\sigma \cdot \nabla_\sigma f = \Delta_\sigma f \quad \text{on } \dot{\mathbb{R}}^N$$

and the spherical integration by parts formula

$$\int_{\mathbb{S}^{N-1}} \mathbf{u} \cdot \nabla_\sigma f \, d\sigma = - \int_{\mathbb{S}^{N-1}} (\nabla_\sigma \cdot \mathbf{u}_S) f \, d\sigma$$

hold for all $\mathbf{u} \in C^\infty(\dot{\mathbb{R}}^N)^N$. Here the integrals are taken for any fixed radius.

Proof. Since the operations are relevant to only the spherical variable $\boldsymbol{\sigma}$, it suffices to check the case where f is independent of the radius r . Apply (2.4) to the case of the spherical gradient field $\nabla f = r^{-1} \nabla_\sigma f$, and we get

$$\text{div } \nabla f = r^{-2} \nabla_\sigma \cdot \nabla_\sigma f.$$

This together with $\text{div } \nabla f = \Delta f = r^{-2} \Delta_\sigma f$ gives the first identity of the lemma. To check the integral formula, let $\zeta \in C_c^\infty(\dot{\mathbb{R}}^N)$ be any radially symmetric scalar field. Then integration by parts of $(\mathbf{u} \cdot \nabla_\sigma f) \zeta = \mathbf{u}_S \cdot \nabla(r f \zeta)$ yields

$$\int_{\mathbb{R}^N} (\mathbf{u} \cdot \nabla_\sigma f) \zeta \, dx = - \int_{\mathbb{R}^N} (\text{div } \mathbf{u}_S) r f \zeta \, dx = - \int_{\mathbb{R}^N} (\nabla_\sigma \cdot \mathbf{u}_S) f \zeta \, dx,$$

where the last equality follows from (2.4). Since the choice of ζ is arbitrary in the radial direction, we get the desired formula, with the aid of the measure transformation formula $dx = r^{N-1} dr \, d\sigma$. \square

For later use, we also show the following:

Lemma 2.2. *The identities*

$$\begin{aligned} \Delta_\sigma(\boldsymbol{\sigma} f) &= \boldsymbol{\sigma}(\Delta_\sigma - N + 1)f + 2 \nabla_\sigma f, \\ \Delta_\sigma \nabla_\sigma f &= \nabla_\sigma \Delta_\sigma f + (N - 3) \nabla_\sigma f - 2 \boldsymbol{\sigma} \Delta_\sigma f \end{aligned}$$

hold for all $f \in C^\infty(\dot{\mathbb{R}}^N)$.

Proof. It suffices to check the case where f is independent of r . Then a direct calculation by using (2.2) together with the Leibniz rule yields

$$\begin{aligned}\Delta_\sigma(\boldsymbol{\sigma}f) &= (1/r)\Delta_\sigma(r\boldsymbol{\sigma}f) = r\Delta(\boldsymbol{x}f) - r\partial'_r\partial_r(r\boldsymbol{\sigma}f) \\ &= 2r\nabla\boldsymbol{x} \cdot \nabla f + r\boldsymbol{x}\Delta f - r\partial'_r(\boldsymbol{\sigma}f) \\ &= 2r\nabla f + \boldsymbol{\sigma}\Delta_\sigma f - (N-1)\boldsymbol{\sigma}f \\ &= 2\nabla_\sigma f + \boldsymbol{\sigma}(\Delta_\sigma - N + 1)f\end{aligned}$$

to get the first identity of the lemma. A similar calculation also yields

$$\begin{aligned}\Delta_\sigma\nabla_\sigma f &= (1/r)\Delta_\sigma(r\nabla_\sigma f) = (r\Delta - r\partial'_r\partial_r)(r\nabla_\sigma f) \\ &= r\Delta(\nabla(r^2f) - 2\boldsymbol{x}f) - r\partial'_r\nabla_\sigma f \\ &= r\nabla\Delta(r^2f) - 2r\Delta(\boldsymbol{x}f) - (N-1)\nabla_\sigma f \\ &= \nabla_\sigma((\Delta r^2)f + r^2\Delta f) - 4r\nabla f - 2r\boldsymbol{x}\Delta f - (N-1)\nabla_\sigma f \\ &= (N-3)\nabla_\sigma f + \nabla_\sigma\Delta_\sigma f - 2\boldsymbol{\sigma}\Delta_\sigma f\end{aligned}$$

to obtain the second identity of the lemma. \square

3. POLOIDAL-TOROIDAL FIELDS

After introducing the definition of pre-polooidal and toroidal fields on $\dot{\mathbb{R}}^N$, we construct the so-called PT decomposition theorem of solenoidal fields on \mathbb{R}^N , by using a generator of polooidal fields.

§3.1. Pre-polooidal fields and toroidal fields on $\dot{\mathbb{R}}^N$. We say that a vector field $\boldsymbol{u} \in C^\infty(\dot{\mathbb{R}}^N)^N$ is *pre-polooidal* if there exist two scalar fields $f, g \in C^\infty(\dot{\mathbb{R}}^N)$ satisfying

$$\boldsymbol{u} = \boldsymbol{x}g + \nabla f \quad \text{on } \dot{\mathbb{R}}^N,$$

and we denote by $\mathcal{P}(\dot{\mathbb{R}}^N)$ the set of all pre-polooidal fields. Then it is clear from (2.2) that this condition is equivalent to the existence of f, g satisfying

$$\boldsymbol{u} = \boldsymbol{\sigma}g + \nabla_\sigma f \quad \text{on } \dot{\mathbb{R}}^N.$$

By using the two equivalent conditions, one can easily check that

$$\{\zeta\boldsymbol{u}, \partial_r\boldsymbol{u}, \Delta\boldsymbol{u}, \Delta_\sigma\boldsymbol{u}\} \subset \mathcal{P}(\dot{\mathbb{R}}^N) \quad \forall \boldsymbol{u} \in \mathcal{P}(\dot{\mathbb{R}}^N), \quad (3.1)$$

where $\zeta \in C^\infty(\dot{\mathbb{R}}^N)$ is any radially symmetric scalar field. Hence the pre-polooidal property is invariant under the operations of radial multiplication, radial derivative and (spherical) Laplacian.

A vector field $\boldsymbol{u} \in C^\infty(\dot{\mathbb{R}}^N)^N$ is said to be *toroidal* if it is spherical and divergence-free:

$$\left. \begin{aligned} \boldsymbol{x} \cdot \boldsymbol{u} = \operatorname{div} \boldsymbol{u} = 0 \\ \text{or equivalently } u_R = \nabla_\sigma \cdot \boldsymbol{u} = 0 \end{aligned} \right\} \text{ on } \dot{\mathbb{R}}^N.$$

We denote by $\mathcal{T}(\dot{\mathbb{R}}^N)$ the set of all toroidal fields; then the same invariant property (3.1) also applies to the case of toroidal fields $\mathcal{T}(\dot{\mathbb{R}}^N)$ (in place of $\mathcal{P}(\dot{\mathbb{R}}^N)$). Here let us show that every toroidal field has zero spherical mean:

$$\int_{\mathbb{S}^{N-1}} \boldsymbol{u}(r\boldsymbol{\sigma}) d\sigma = 0 \quad \forall r > 0, \quad \forall \boldsymbol{u} \in \mathcal{T}(\dot{\mathbb{R}}^N). \quad (3.2)$$

To this end, let $\zeta \in C_c^\infty(\dot{\mathbb{R}}^N)$ be any radially symmetric scalar field with compact support on $\dot{\mathbb{R}}^N$. We set $\mathbf{w} := \zeta \mathbf{u}$ and notice that $\mathbf{w} \in \mathcal{T}(\dot{\mathbb{R}}^N)$; then integration by parts of the k -th component of \mathbf{w} yields

$$\int_{\mathbb{R}^N} u_k \zeta dx = \int_{\mathbb{R}^N} w_k dx = - \int_{\mathbb{R}^N} x_k \frac{\partial w_k}{\partial x_k} dx = \sum_{j \neq k} \int_{\mathbb{R}^N} x_k \frac{\partial w_j}{\partial x_j} dx = 0$$

for all $k = 1, 2, \dots, N$; where the third equality follows from $\operatorname{div} \mathbf{w} = 0$. Since the choice of ζ is arbitrary in the radial direction, we arrive at $\int_{\mathbb{S}^{N-1}} u_k d\sigma = 0$ and hence (3.2), with the aid of the measure transformation formula $dx = r^{N-1} dr d\sigma$.

The following lemma summarizes some basic properties of the sets (or spaces) of pre-poloidal fields and toroidal fields:

Lemma 3.1. *All pre-poloidal fields are $L^2(\mathbb{S}^{N-1})$ -orthogonal to all toroidal fields, in the sense that*

$$\int_{\mathbb{S}^{N-1}} \mathbf{v} \cdot \mathbf{w} d\sigma = \int_{\mathbb{S}^{N-1}} \nabla \mathbf{v} \cdot \nabla \mathbf{w} d\sigma = 0$$

for all $\mathbf{v} \in \mathcal{P}(\dot{\mathbb{R}}^N)$ and $\mathbf{w} \in \mathcal{T}(\dot{\mathbb{R}}^N)$, where the integrals are taken for any radius. Moreover, these fields satisfy

$$\{\zeta \mathbf{v}, \partial_r \mathbf{v}, \Delta_\sigma \mathbf{v}\} \subset \mathcal{P}(\dot{\mathbb{R}}^N) \quad \text{and} \quad \{\zeta \mathbf{w}, \partial_r \mathbf{w}, \Delta_\sigma \mathbf{w}\} \subset \mathcal{T}(\dot{\mathbb{R}}^N),$$

where $\zeta \in C^\infty(\dot{\mathbb{R}}^N)$ is any radially symmetric scalar field; namely, the two spaces $\mathcal{P}(\dot{\mathbb{R}}^N)$ and $\mathcal{T}(\dot{\mathbb{R}}^N)$ are invariant under the operations of ζ , ∂_r and Δ_σ .

Proof. It suffices to check the orthogonality formulae. The pre-poloidal property of \mathbf{v} says that $\mathbf{v} = \sigma g + \nabla_\sigma f$ for some $f, g \in C^\infty(\dot{\mathbb{R}}^N)$, and hence

$$\mathbf{v} \cdot \mathbf{w} = \mathbf{w} \cdot \nabla_\sigma f$$

follows from the spherical property $w_R = 0$ of the toroidal field \mathbf{w} . Then integration by parts yields

$$\int_{\mathbb{S}^{N-1}} \mathbf{v} \cdot \mathbf{w} d\sigma = - \int_{\mathbb{S}^{N-1}} (\nabla_\sigma \cdot \mathbf{w}) f d\sigma = 0$$

due to $\nabla_\sigma \cdot \mathbf{w} = 0$. This proves the first orthogonality formula. To prove the second, by using (2.2) and Lemma 2.1, integration by parts yields

$$\begin{aligned} \int_{\mathbb{S}^{N-1}} \nabla \mathbf{v} \cdot \nabla \mathbf{w} d\sigma &= \int_{\mathbb{S}^{N-1}} \left(\partial_r \mathbf{v} \cdot \partial_r \mathbf{w} + r^{-2} \nabla_\sigma \mathbf{v} \cdot \nabla_\sigma \mathbf{w} \right) d\sigma \\ &= \int_{\mathbb{S}^{N-1}} \partial_r \mathbf{v} \cdot \partial_r \mathbf{w} d\sigma - r^{-2} \int_{\mathbb{S}^{N-1}} \mathbf{v} \cdot \Delta_\sigma \mathbf{w} d\sigma = 0, \end{aligned}$$

where the last equality follows by applying the first orthogonality formula to the fields $\{\partial_r \mathbf{v}, \mathbf{v}\} \subset \mathcal{P}(\dot{\mathbb{R}}^N)$ and $\{\partial_r \mathbf{w}, \Delta_\sigma \mathbf{w}\} \subset \mathcal{T}(\dot{\mathbb{R}}^N)$. \square

§3.2. PT decomposition of solenoidal fields on \mathbb{R}^N . A vector field is said to be solenoidal if it is divergence-free. In view of §3.1, all toroidal fields are solenoidal, while pre-poloidal fields are not necessarily so; we say that a pre-poloidal field is *poloidal* whenever it is solenoidal.

Now let $\mathbf{u} \in C^\infty(\mathbb{R}^N)^N$ be a solenoidal field smoothly defined on the entire space \mathbb{R}^N . Notice that the surface integral of \mathbf{u} over \mathbb{S}^{N-1} gives

$$\int_{\mathbb{S}^{N-1}} \boldsymbol{\sigma} \cdot \mathbf{u} d\sigma = 0 \quad (\text{for any radius})$$

by use of Gauss' divergence theorem; hence the scalar field $u_R = \boldsymbol{\sigma} \cdot \mathbf{u}$ has zero spherical mean. Then it is well known that the Poisson-Beltrami equation

$$\Delta_\sigma f = u_R \quad \text{on } \dot{\mathbb{R}}^N$$

has an unique solution $f \in C^\infty(\dot{\mathbb{R}}^N)$ with zero spherical mean; we denote such a solution by $f = \Delta_\sigma^{-1} u_R$, and we call it the *poloidal potential* of \mathbf{u} . To understand this naming, let us introduce the second-order derivative operator

$$\mathbf{D} := \boldsymbol{\sigma} \Delta_\sigma - r \partial'_r \nabla_\sigma \quad (3.3)$$

which we call the poloidal generator. It maps every scalar field to a poloidal field on $\dot{\mathbb{R}}^N$; indeed, it is clear that $\mathbf{D}f \in \mathcal{P}(\dot{\mathbb{R}}^N)$ for every $f \in C^\infty(\dot{\mathbb{R}}^N)$, and that $\operatorname{div} \mathbf{D} = \partial'_r \Delta_\sigma - \partial'_r \nabla_\sigma \cdot \nabla_\sigma = 0$ follows from (2.4). Moreover,

$$\mathbf{u} - \mathbf{D} \Delta_\sigma^{-1} u_R = \mathbf{u}_S + \nabla_\sigma \Delta_\sigma^{-1} (r \partial'_r u_R)$$

is a toroidal field whenever \mathbf{u} is solenoidal. Hence we have obtained the following:

Proposition 3.2 (PT theorem). *Let $\mathbf{u} \in C^\infty(\mathbb{R}^N)^N$ be a solenoidal field. Then there exists a unique pair of poloidal-toroidal fields $(\mathbf{u}_P, \mathbf{u}_T) \in \mathcal{P}(\dot{\mathbb{R}}^N) \times \mathcal{T}(\dot{\mathbb{R}}^N)$ satisfying*

$$\mathbf{u} = \mathbf{u}_P + \mathbf{u}_T \quad \text{on } \dot{\mathbb{R}}^N.$$

Here the poloidal part of \mathbf{u} has the explicit expression $\mathbf{u}_P = \mathbf{D}f$ in terms of the poloidal potential $f = \Delta_\sigma^{-1} u_R$ and the poloidal generator (3.3).

For later use, we show some $L^2(\mathbb{S}^{N-1})$ -deviation estimates for a perturbation of poloidal potential by radial multiplication:

Lemma 3.3. *Let $f \in C^\infty(\dot{\mathbb{R}}^N)$. Then there exists some $C > 0$ depending only on N such that the inequalities*

$$\begin{aligned} C \int_{\mathbb{S}^{N-1}} |\mathbf{D}(\zeta f) - \zeta \mathbf{D}f|^2 d\sigma &\leq (r\zeta')^2 \int_{\mathbb{S}^{N-1}} |\mathbf{D}f|^2 d\sigma, \\ C \int_{\mathbb{S}^{N-1}} |\nabla \mathbf{D}(\zeta f) - \zeta \nabla \mathbf{D}f|^2 d\sigma &\leq \left((r\zeta')^2 + (r^2 \zeta'')^2 \right) \int_{\mathbb{S}^{N-1}} \frac{|\mathbf{D}f|^2}{r^2} d\sigma \end{aligned}$$

hold for any radially symmetric scalar field $\zeta \in C^\infty(\dot{\mathbb{R}}^N)$ together with the notation for its radial derivatives $\zeta' = \partial_r \zeta$ and $\zeta'' = \partial_r^2 \zeta$. Here the integrals are taken for every fixed radius.

Proof. A direct calculation by using the Leibniz rule gives

$$\mathbf{D}(\zeta f) - \zeta \mathbf{D}f = -r\zeta' \nabla_\sigma f, \quad (3.4)$$

$$\nabla \mathbf{D}(\zeta f) - \zeta \nabla \mathbf{D}f = \boldsymbol{\sigma} \zeta' \mathbf{D}f - \boldsymbol{\sigma} (r\zeta')' \nabla_\sigma f - r\zeta' \nabla \nabla_\sigma f, \quad (3.5)$$

where the second identity follows by taking the gradient of the first. We aim to estimate these two fields. First of all, The $L^2(\mathbb{S}^{N-1})$ integration of (3.4) yields

$$\int_{\mathbb{S}^{N-1}} |\mathbf{D}(\zeta f) - \zeta \mathbf{D}f|^2 d\sigma = (r\zeta')^2 \int_{\mathbb{S}^{N-1}} |\nabla_\sigma f|^2 d\sigma \leq \frac{(r\zeta')^2}{N-1} \int_{\mathbb{S}^{N-1}} |\mathbf{D}f|^2 d\sigma.$$

Here the last inequality follows by combining

$$|\Delta_\sigma f| = |\boldsymbol{\sigma} \cdot \mathbf{D}f| \leq |\mathbf{D}f|$$

with the spectral estimate

$$\int_{\mathbb{S}^{N-1}} |\nabla_{\sigma} f|^2 d\sigma \leq \frac{1}{N-1} \int_{\mathbb{S}^{N-1}} (\Delta_{\sigma} f)^2 d\sigma$$

which can be easily verified by using the spherical harmonics expansion of f . Therefore, we have proved the first inequality of the lemma. To prove the second, we begin to estimate the last term of (3.5): the identity

$$|\nabla \nabla_{\sigma} f|^2 = |\partial_r \nabla_{\sigma} f|^2 + r^{-2} |\nabla_{\sigma} \nabla_{\sigma} f|^2$$

follows from (2.2), and integration by parts on both sides gives

$$\begin{aligned} \int_{\mathbb{S}^{N-1}} |\nabla \nabla_{\sigma} f|^2 d\sigma &= \int_{\mathbb{S}^{N-1}} (|\partial_r \nabla_{\sigma} f|^2 - r^{-2} \nabla_{\sigma} f \cdot \Delta_{\sigma} \nabla_{\sigma} f) d\sigma \\ &= \int_{\mathbb{S}^{N-1}} (|\nabla_{\sigma} \partial_r f|^2 - r^{-2} \nabla_{\sigma} f \cdot \nabla_{\sigma} (\Delta_{\sigma} + N - 3)f) d\sigma \quad (\text{due to Lemma 2.2}) \\ &= \int_{\mathbb{S}^{N-1}} \left(|\nabla_{\sigma} \partial_r f - \frac{N-1}{r} \nabla_{\sigma} f|^2 + r^{-2} \left((\Delta_{\sigma} f)^2 + (N-3) |\nabla_{\sigma} f|^2 \right) \right) d\sigma \\ &= \int_{\mathbb{S}^{N-1}} \left(\left| r^{-1} (\mathbf{D}f)_S - \frac{N-1}{r} \nabla_{\sigma} f \right|^2 + r^{-2} \left((\boldsymbol{\sigma} \cdot \mathbf{D}f)^2 + (N-3) |\nabla_{\sigma} f|^2 \right) \right) d\sigma \\ &\lesssim \frac{1}{r^2} \int_{\mathbb{S}^{N-1}} (|\mathbf{D}f|^2 + |\Delta_{\sigma} f|^2) d\sigma \lesssim \frac{1}{r^2} \int_{\mathbb{S}^{N-1}} |\mathbf{D}f|^2 d\sigma, \end{aligned}$$

where the notation “ \lesssim ” means that

$$x \lesssim y \quad :\iff \quad x \leq Cy \quad \text{for some constant } C > 0 \text{ depending only on } N$$

as a transitive relation between two nonnegative real numbers. By using this result, the $L^2(\mathbb{S}^{N-1})$ integration of (3.5) yields

$$\begin{aligned} \int_{\mathbb{S}^{N-1}} |\nabla \mathbf{D}(\zeta f) - \zeta \nabla \mathbf{D}f|^2 d\sigma &= \int_{\mathbb{S}^{N-1}} |\boldsymbol{\sigma} \zeta' \mathbf{D}f - \boldsymbol{\sigma} (r\zeta')' \nabla_{\sigma} f - r\zeta' \nabla \nabla_{\sigma} f|^2 d\sigma \\ &\lesssim (\zeta')^2 \int_{\mathbb{S}^{N-1}} |\mathbf{D}f|^2 d\sigma + ((r\zeta')')^2 \int_{\mathbb{S}^{N-1}} |\Delta_{\sigma} f|^2 d\sigma + (r\zeta')^2 \int_{\mathbb{S}^{N-1}} |\nabla \nabla_{\sigma} f|^2 d\sigma \\ &\lesssim ((r\zeta')^2 + (r^2 \zeta'')^2) \int_{\mathbb{S}^{N-1}} \frac{|\mathbf{D}f|^2}{r^2} d\sigma \end{aligned}$$

to arrive at the desired result. \square

4. PROOF OF MAIN THEOREM

In the following, we always assume that the test solenoidal fields \mathbf{u} satisfy

$$\mathbf{u} \neq \mathbf{0} \quad \text{and} \quad \int_{\mathbb{R}^N} |\nabla \mathbf{u}|^2 |\mathbf{x}|^{2\gamma} dx < \infty,$$

since otherwise there is nothing to prove. This integrability together with the smoothness of $|\nabla \mathbf{u}|^2$ tells us that there must be an integer $k > -\gamma - \frac{N}{2}$ such that $\nabla \mathbf{u} = O(|\mathbf{x}|^k)$ as $|\mathbf{x}| \rightarrow 0$. Then, by using the “additional condition” stated in Theorem 1.1, we get $\mathbf{u} = O(|\mathbf{x}|^{k+1})$ for $\gamma \leq 1 - \frac{N}{2}$, and hence

$$\int_{\mathbb{R}^N} |\mathbf{u}|^2 |\mathbf{x}|^{2\gamma-2} dx < \infty$$

due to the support compactness of \mathbf{u} on \mathbb{R}^N .

§4.1. **Reduction to the case of PT fields with compact support on $\dot{\mathbb{R}}^N$.** Recall that the formula $\mathbf{u} = \mathbf{u}_P + \mathbf{u}_T$ in Proposition 3.2 is an $L^2(\mathbb{S}^{N-1})$ -direct sum in the sense of Lemma 3.1. Then the ratio of the two integrals in inequality (1.1), which we simply call the *H-L quotient*, can be expressed as

$$\frac{\int_{\mathbb{R}^N} |\nabla \mathbf{u}|^2 |\mathbf{x}|^{2\gamma} dx}{\int_{\mathbb{R}^N} |\mathbf{u}|^2 |\mathbf{x}|^{2\gamma-2} dx} = \frac{\int_{\mathbb{R}^N} |\nabla \mathbf{u}_P|^2 |\mathbf{x}|^{2\gamma} dx + \int_{\mathbb{R}^N} |\nabla \mathbf{u}_T|^2 |\mathbf{x}|^{2\gamma} dx}{\int_{\mathbb{R}^N} |\mathbf{u}_P|^2 |\mathbf{x}|^{2\gamma-2} dx + \int_{\mathbb{R}^N} |\mathbf{u}_T|^2 |\mathbf{x}|^{2\gamma-2} dx}.$$

Taking the infimum on both sides over the test solenoidal fields \mathbf{u} , we get

$$C_{N,\gamma} = \inf_{\operatorname{div} \mathbf{u} \equiv 0} \frac{\int_{\mathbb{R}^N} |\nabla \mathbf{u}|^2 |\mathbf{x}|^{2\gamma} dx}{\int_{\mathbb{R}^N} |\mathbf{u}|^2 |\mathbf{x}|^{2\gamma-2} dx} = \min \{C_{P,N,\gamma}, C_{T,N,\gamma}\} \quad (4.1)$$

as the best constant of H-L inequality for solenoidal fields, in terms of the notation

$$C_{P,N,\gamma} := \inf_{\substack{\mathbf{u}_P \neq \mathbf{0}, \\ \operatorname{div} \mathbf{u} \equiv 0}} \frac{\int_{\mathbb{R}^N} |\nabla \mathbf{u}_P|^2 |\mathbf{x}|^{2\gamma} dx}{\int_{\mathbb{R}^N} |\mathbf{u}_P|^2 |\mathbf{x}|^{2\gamma-2} dx} = \inf_{\mathbf{u} \in \mathcal{P}} \frac{\int_{\mathbb{R}^N} |\nabla \mathbf{u}|^2 |\mathbf{x}|^{2\gamma} dx}{\int_{\mathbb{R}^N} |\mathbf{u}|^2 |\mathbf{x}|^{2\gamma-2} dx}$$

resp. $C_{T,N,\gamma} := \inf_{\substack{\mathbf{u}_T \neq \mathbf{0}, \\ \operatorname{div} \mathbf{u} \equiv 0}} \frac{\int_{\mathbb{R}^N} |\nabla \mathbf{u}_T|^2 |\mathbf{x}|^{2\gamma} dx}{\int_{\mathbb{R}^N} |\mathbf{u}_T|^2 |\mathbf{x}|^{2\gamma-2} dx} = \inf_{\mathbf{u} \in \mathcal{T}} \frac{\int_{\mathbb{R}^N} |\nabla \mathbf{u}|^2 |\mathbf{x}|^{2\gamma} dx}{\int_{\mathbb{R}^N} |\mathbf{u}|^2 |\mathbf{x}|^{2\gamma-2} dx}$

denoting the best constant of H-L inequality for poloidal resp. toroidal fields. Here the abbreviation “ $\mathbf{u} \in \mathcal{P}$ ” resp. “ $\mathbf{u} \in \mathcal{T}$ ” on the right-hand side means that \mathbf{u} is poloidal resp. toroidal (as well as $\mathbf{u} \neq \mathbf{0}$). Therefore, the computation of $C_{N,\gamma}$ is reduced to that of the individual $C_{P,N,\gamma}$ and $C_{T,N,\gamma}$.

To compute the best constants, we can further assume that all the test solenoidal fields are compactly supported on $\dot{\mathbb{R}}^N$, for the following reason: Let $f \in C^\infty(\dot{\mathbb{R}}^N)$ be the poloidal potential of any solenoidal field \mathbf{u} , and hence we have

$$\mathbf{u} = \mathbf{u}_P + \mathbf{u}_T, \quad \mathbf{u}_P = Df.$$

Define $\{\mathbf{u}_n\}_{n \in \mathbb{N}} \subset C_c^\infty(\dot{\mathbb{R}}^N)^N$ as a sequence of solenoidal fields by the formula

$$\mathbf{u}_n = D(\zeta_n f) + \zeta_n \mathbf{u}_T \quad \forall n \in \mathbb{N},$$

where $\{\zeta_n\}_{n \in \mathbb{N}} \subset C_c^\infty(\dot{\mathbb{R}}^N)$ are radially symmetric scalar fields given by

$$\zeta_n(\mathbf{x}) = \zeta_0(|\mathbf{x}|^{\frac{1}{n}}) \quad \forall \mathbf{x} \in \mathbb{R}^N, \quad \forall n \in \mathbb{N}$$

for some 1-variable smooth function $\zeta_0 \in C^\infty(\mathbb{R}_+)$ with compact support on \mathbb{R}_+ such that $\zeta_0(1) = 1$. Then a direct calculation by applying Lemma 3.3 to $\zeta = \zeta_n$ yields

$$C \int_{\mathbb{R}^N} |\mathbf{u}_n - \zeta_n \mathbf{u}|^2 |\mathbf{x}|^{2\gamma-2} dx \leq \int_{\mathbb{R}^N} (r\zeta_n')^2 |\mathbf{u}|^2 |\mathbf{x}|^{2\gamma-2} dx,$$

$$C \int_{\mathbb{R}^N} |\nabla \mathbf{u}_n - \zeta_n \nabla \mathbf{u}|^2 |\mathbf{x}|^{2\gamma} dx \leq \int_{\mathbb{R}^N} ((r\zeta_n')^2 + (r^2 \zeta_n'')^2) |\mathbf{u}|^2 |\mathbf{x}|^{2\gamma-2} dx$$

for some constant $C > 0$ depending only on N . Notice on the right-hand sides that the radial factors have the estimates

$$|r\zeta_n'| = \left| \frac{1}{n} r^{\frac{1}{n}} \zeta_0'(r^{\frac{1}{n}}) \right| \leq \frac{C}{n},$$

$$|r^2 \zeta_n''| = \left| \frac{1}{n} \left(\frac{1}{n} - 1 \right) r^{\frac{1}{n}} \zeta_0'(r^{\frac{1}{n}}) + \frac{1}{n^2} r^{\frac{2}{n}} \zeta_0''(r^{\frac{1}{n}}) \right| \leq \frac{C}{n}$$

for some constant $C > 0$ depending only on ζ_0 , and hence we have

$$\left. \begin{aligned} \int_{\mathbb{R}^N} |\mathbf{u}_n - \zeta_n \mathbf{u}|^2 |\mathbf{x}|^{2\gamma-2} dx &\rightarrow 0, \\ \int_{\mathbb{R}^N} |\nabla \mathbf{u}_n - \zeta_n \nabla \mathbf{u}|^2 |\mathbf{x}|^{2\gamma} dx &\rightarrow 0 \end{aligned} \right\} \text{ as } n \rightarrow \infty$$

by using the integrability $\int_{\mathbb{R}^N} |\mathbf{u}|^2 |\mathbf{x}|^{2\gamma-2} dx < \infty$. Since the dominated convergence theorem says that

$$\zeta_n \mathbf{u} \rightarrow \mathbf{u} \quad \text{resp.} \quad \zeta_n \nabla \mathbf{u}_n \rightarrow \nabla \mathbf{u} \quad (n \rightarrow \infty)$$

holds in $L^2(|\mathbf{x}|^{2\gamma-2} dx)$ resp. $L^2(|\mathbf{x}|^{2\gamma} dx)^N$, we obtain

$$\int_{\mathbb{R}^N} |\mathbf{u}_n - \mathbf{u}|^2 |\mathbf{x}|^{2\gamma-2} dx \rightarrow 0 \quad \text{and} \quad \int_{\mathbb{R}^N} |\nabla \mathbf{u}_n - \nabla \mathbf{u}|^2 |\mathbf{x}|^{2\gamma} dx \rightarrow 0$$

through the L^2 -triangle inequality. Therefore, the two integrals in H-L inequality for solenoidal fields on \mathbb{R}^N can be approximated by those with compact support on $\mathring{\mathbb{R}}^N$.

§4.2. Estimation for poloidal fields: evaluation of $C_{P,N,\gamma}$. Throughout this subsection, \mathbf{u} is assumed to be poloidal with compact support on $\mathring{\mathbb{R}}^N$. Notice from Proposition 3.2 that

$$\mathbf{u} = \mathbf{u}_P = \mathbf{D}f = \boldsymbol{\sigma} \Delta_\sigma f - r \partial_r' \nabla_\sigma f \quad \text{on } \mathring{\mathbb{R}}^N$$

for the poloidal potential $f = \Delta_\sigma^{-1} u_R$. Now, let us transform \mathbf{u} resp. f into a vector field \mathbf{v} resp. scalar field g by the formula

$$\left. \begin{aligned} \mathbf{v}(\mathbf{x}) &:= |\mathbf{x}|^{\gamma+\frac{N}{2}-1} \mathbf{u}(\mathbf{x}) \\ \text{resp. } g(\mathbf{x}) &:= |\mathbf{x}|^{\gamma+\frac{N}{2}-1} f(\mathbf{x}) = \Delta_\sigma^{-1} v_R(\mathbf{x}) \end{aligned} \right\} \quad \forall \mathbf{x} \in \mathring{\mathbb{R}}^N, \quad (4.2)$$

which stems from an idea of Brezis-Vázquez [2]. Then \mathbf{v} can be expressed in terms of g by the following calculation:

$$\begin{aligned} \mathbf{v} &= r^{\gamma+\frac{N}{2}-1} \mathbf{D}(r^{1-\gamma-\frac{N}{2}} g) = \boldsymbol{\sigma} \Delta_\sigma g - r^{\gamma+\frac{N}{2}} \partial_r' \nabla_\sigma (r^{1-\gamma-\frac{N}{2}} g) \\ &= \boldsymbol{\sigma} \Delta_\sigma g - \nabla_\sigma \left((r \partial_r + \frac{N}{2} - \gamma) g \right) \\ &= \boldsymbol{\sigma} \Delta_\sigma g - \nabla_\sigma \left((\partial_t - \gamma + \frac{N}{2}) g \right). \end{aligned} \quad (4.3)$$

Here and hereafter we employ the notation $t := \log |\mathbf{x}|$, which serves as an alternative radial coordinate obeying the differential chain rule:

$$\partial_t = r \partial_r = \mathbf{x} \cdot \nabla, \quad dt = r^{-1} dr. \quad (4.4)$$

Taking the derivatives of (4.3) also yields the calculation:

$$\begin{aligned}
\partial_t \mathbf{v} &= \boldsymbol{\sigma} \Delta_\sigma \partial_t g - \nabla_\sigma \left((\partial_t - \gamma + \frac{N}{2}) \partial_t g \right), \\
\Delta_\sigma \mathbf{v} &= \Delta_\sigma (\boldsymbol{\sigma} \Delta_\sigma g) - \Delta_\sigma \nabla_\sigma \left((\partial_t - \gamma + \frac{N}{2}) g \right) \\
&= \boldsymbol{\sigma} (\Delta_\sigma^2 g - (N-1) \Delta_\sigma g) + 2 \nabla_\sigma \Delta_\sigma g \\
&\quad + 2 \boldsymbol{\sigma} \Delta_\sigma \left((\partial_t - \gamma + \frac{N}{2}) g \right) - \nabla_\sigma (\Delta_\sigma + N-3) \left((\partial_t - \gamma + \frac{N}{2}) g \right) \\
&= \boldsymbol{\sigma} \Delta_\sigma^2 g + \boldsymbol{\sigma} (2 \partial_t - 2\gamma - N + 4) \Delta_\sigma g + (N-3) \boldsymbol{\sigma} \Delta_\sigma g \\
&\quad + \nabla_\sigma \left((-\partial_t + \gamma - \frac{N}{2} + 2) \Delta_\sigma g \right) - (N-3) \nabla_\sigma \left((\partial_t - \gamma + \frac{N}{2}) g \right) \\
&= \boldsymbol{\sigma} (\Delta_\sigma^2 g + 2 \partial_t \Delta_\sigma g - 2 (\gamma + \frac{N}{2} - 2) \Delta_\sigma g) \\
&\quad + \nabla_\sigma \left((-\partial_t + \gamma - \frac{N}{2} + 2) \Delta_\sigma g \right) + (N-3) \mathbf{v},
\end{aligned} \tag{4.5}$$

where the equality in the third line follows by using Lemma 2.2. On the other hand, to express in terms of \mathbf{v} the integrals in (1.1), we have the following calculation:

$$\begin{aligned}
\int_{\mathbb{R}^N} |\mathbf{u}|^2 |\mathbf{x}|^{2\gamma-2} dx &= \int_{\mathbb{R}^N} |\mathbf{v}|^2 |\mathbf{x}|^{-N} dx, \\
\int_{\mathbb{R}^N} |\nabla \mathbf{u}|^2 |\mathbf{x}|^{2\gamma} dx &= \int_{\mathbb{R}^N} |\nabla (r^{1-\gamma-\frac{N}{2}} \mathbf{v})|^2 r^{2\gamma} dx \\
&= \int_{\mathbb{R}^N} \left| (1 - \gamma - \frac{N}{2}) r^{-\gamma-\frac{N}{2}} \boldsymbol{\sigma} \mathbf{v} + r^{1-\gamma-\frac{N}{2}} \nabla \mathbf{v} \right|^2 r^{2\gamma} dx \\
&= \int_{\mathbb{R}^N} \left((\gamma + \frac{N}{2} - 1)^2 |\mathbf{v}|^2 + (1 - \gamma - \frac{N}{2}) r \partial_r |\mathbf{v}|^2 + |r \nabla \mathbf{v}|^2 \right) r^{-N} dx \\
&= (\gamma + \frac{N}{2} - 1)^2 \int_{\mathbb{R}^N} |\mathbf{u}|^2 |\mathbf{x}|^{2\gamma-2} dx + \int_{\mathbb{R}^N} |r \nabla \mathbf{v}|^2 r^{-N} dx,
\end{aligned} \tag{4.7}$$

where the last equality follows from the first and the support compactness of \mathbf{v} on \mathbb{R}^N . In particular, taking the ratio of (4.8) to (4.7) gives

$$\frac{\int_{\mathbb{R}^N} |\nabla \mathbf{u}|^2 |\mathbf{x}|^{2\gamma} dx}{\int_{\mathbb{R}^N} |\mathbf{u}|^2 |\mathbf{x}|^{2\gamma-2} dx} = (\gamma + \frac{N}{2} - 1)^2 + \frac{\int_{\mathbb{R}^N} |r \nabla \mathbf{v}|^2 r^{-N} dx}{\int_{\mathbb{R}^N} |\mathbf{v}|^2 r^{-N} dx}. \tag{4.9}$$

Hence the evaluation of the H-L quotient is further reduced to that of the quotient on the right-hand side. To this end, let us compute in terms of g the L^2 integrals of \mathbf{v} and $r \nabla \mathbf{v}$. First of all, with respect to the measure

$$r^{-N} dx = dt d\sigma \quad \text{over} \quad \dot{\mathbb{R}}^N \cong \mathbb{R} \times \mathbb{S}^{N-1}, \tag{4.10}$$

the L^2 integration by parts of (4.3) and (4.5) yields

$$\begin{aligned}
\int_{\mathbb{R}^N} |\mathbf{v}|^2 r^{-N} dx &= \iint_{\mathbb{R} \times \mathbb{S}^{N-1}} \left((\Delta_\sigma g)^2 + \left| (\partial_t - \gamma + \frac{N}{2}) \nabla_\sigma g \right|^2 \right) dt d\sigma \\
&= \iint_{\mathbb{R} \times \mathbb{S}^{N-1}} \left((\Delta_\sigma g)^2 + |\partial_t \nabla_\sigma g|^2 + (\gamma - \frac{N}{2})^2 |\nabla_\sigma g|^2 \right) dt d\sigma,
\end{aligned} \tag{4.11}$$

$$\int_{\mathbb{R}^N} |\partial_t \mathbf{v}|^2 r^{-N} dx = \iint_{\mathbb{R} \times \mathbb{S}^{N-1}} \left((\partial_t \Delta_\sigma g)^2 + |\partial_t^2 \nabla_\sigma g|^2 + (\gamma - \frac{N}{2})^2 |\partial_t \nabla_\sigma g|^2 \right) dt d\sigma \tag{4.12}$$

by using the support compactness of g . Next, in order to compute the L^2 integral of $\nabla_\sigma \mathbf{v}$, taking the scalar product of (4.3) and (4.6) yields

$$-\mathbf{v} \cdot (\Delta_\sigma \mathbf{v}) = -(\Delta_\sigma g) (\Delta_\sigma^2 g + 2\partial_t \Delta_\sigma g - 2(\gamma + \frac{N}{2} - 2)\Delta_\sigma g) \\ + ((\partial_t - \gamma + \frac{N}{2}) \nabla_\sigma g) \cdot \nabla_\sigma ((-\partial_t + \gamma - \frac{N}{2} + 2) \Delta_\sigma g) - (N-3)|\mathbf{v}|^2.$$

Then integration by parts on both sides with respect to the measure (4.10) gives

$$\int_{\mathbb{R}^N} |\nabla_\sigma \mathbf{v}|^2 r^{-N} dx = -(N-3) \int_{\mathbb{R}^N} |\mathbf{v}|^2 r^{-N} dx \\ + \iint_{\mathbb{R} \times \mathbb{S}^{N-1}} \left(\begin{aligned} & -(\Delta_\sigma g) (\Delta_\sigma^2 g + 2\partial_t \Delta_\sigma g - 2(\gamma + \frac{N}{2} - 2) \Delta_\sigma g) \\ & + ((-\partial_t + \gamma - \frac{N}{2}) \Delta_\sigma g) (-\partial_t + \gamma - \frac{N}{2} + 2) \Delta_\sigma g \end{aligned} \right) dt d\sigma \\ = -(N-3) \int_{\mathbb{R}^N} |\mathbf{v}|^2 r^{-N} dx \\ + \iint_{\mathbb{R} \times \mathbb{S}^{N-1}} \left(\begin{aligned} & -(\Delta_\sigma g) \Delta_\sigma^2 g + 2(\gamma + \frac{N}{2} - 2) (\Delta_\sigma g)^2 \\ & + (\partial_t \Delta_\sigma g)^2 + (\gamma - \frac{N}{2}) (\gamma - \frac{N}{2} + 2) (\Delta_\sigma g)^2 \end{aligned} \right) dt d\sigma \\ = -(N-3) \int_{\mathbb{R}^N} |\mathbf{v}|^2 r^{-N} dx + 4(\gamma-1) \iint_{\mathbb{R} \times \mathbb{S}^{N-1}} (\Delta_\sigma g)^2 dt d\sigma \\ + \iint_{\mathbb{R} \times \mathbb{S}^{N-1}} \left(|\nabla_\sigma \Delta_\sigma g|^2 + (\partial_t \Delta_\sigma g)^2 + (\gamma - \frac{N}{2})^2 (\Delta_\sigma g)^2 \right) dt d\sigma, \quad (4.13)$$

where the second equality follows again from the support compactness. To further proceed, let us consider separately the two cases $\gamma \leq 1$ and $\gamma > 1$.

§4.2.1. *The case $\gamma \leq 1$.* In order to estimate the last two integrals in (4.13), we express the spherical harmonics expansion of g as

$$g = \sum_{\nu \in \mathbb{N}} g_\nu, \quad -\Delta_\sigma g_\nu = \alpha_\nu g_\nu \quad (\forall \nu \in \mathbb{N}),$$

by using the same notation $\alpha_\nu = \nu(\nu + N - 2)$ as in (2.3). Then a direct calculation gives the following estimate:

$$4(\gamma-1) \int_{\mathbb{S}^{N-1}} (\Delta_\sigma g)^2 d\sigma + \int_{\mathbb{S}^{N-1}} \left(|\nabla_\sigma \Delta_\sigma g|^2 + (\gamma - \frac{N}{2})^2 (\Delta_\sigma g)^2 \right) d\sigma \\ = \sum_{\nu \in \mathbb{N}} \alpha_\nu \left(\alpha_\nu^2 + (\gamma - \frac{N}{2})^2 \alpha_\nu + 4(\gamma-1)\alpha_\nu \right) g_\nu^2 \\ \geq \sum_{\nu \in \mathbb{N}} \alpha_1 \left(\alpha_\nu^2 + (\gamma - \frac{N}{2})^2 \alpha_\nu + 4(\gamma-1)\alpha_\nu \right) g_\nu^2 \\ \geq \sum_{\nu \in \mathbb{N}} \alpha_1 \left(\alpha_\nu^2 + (\gamma - \frac{N}{2})^2 \alpha_\nu + 4(\gamma-1) \frac{(\gamma - \frac{N}{2})^2 \alpha_\nu + \alpha_\nu^2}{(\gamma - \frac{N}{2})^2 + \alpha_1} \right) g_\nu^2 \\ = \alpha_1 \left(1 - \frac{4(1-\gamma)}{(\gamma - \frac{N}{2})^2 + \alpha_1} \right) \sum_{\nu \in \mathbb{N}} \left(\alpha_\nu^2 + (\gamma - \frac{N}{2})^2 \alpha_\nu \right) g_\nu^2 \\ = \alpha_1 \left(1 - \frac{4(1-\gamma)}{(\gamma - \frac{N}{2})^2 + \alpha_1} \right) \int_{\mathbb{S}^{N-1}} \left((\Delta_\sigma g)^2 + (\gamma - \frac{N}{2})^2 |\nabla_\sigma g|^2 \right) d\sigma.$$

Here the second inequality follows from that the coefficients of g_ν^2 are all nonnegative, and the third inequality follows from $\gamma \leq 1$; notice that both the equalities in these inequalities are simultaneously attained if and only if $g_\nu = 0 \quad \forall \nu \geq 2$, namely

$-\Delta_\sigma g = \alpha_1 g$. Considering also the spherical harmonics expansion of $\partial_t g$, we have the estimate:

$$\int_{\mathbb{S}^{N-1}} (\partial_t \Delta_\sigma g)^2 d\sigma \geq \alpha_1 \int_{\mathbb{S}^{N-1}} |\partial_t \nabla_\sigma g|^2 d\sigma.$$

Combine the above two estimates with the right-hand side of (4.13), and we get

$$\begin{aligned} & \int_{\mathbb{R}^N} |\nabla_\sigma \mathbf{v}|^2 r^{-N} dx \\ & \geq \alpha_1 \left(1 - \frac{4(1-\gamma)}{(\gamma - \frac{N}{2})^2 + \alpha_1}\right) \iint_{\mathbb{R} \times \mathbb{S}^{N-1}} \left((\Delta_\sigma g)^2 + (\gamma - \frac{N}{2})^2 |\nabla_\sigma g|^2 \right) dt d\sigma \\ & \quad + \alpha_1 \iint_{\mathbb{R} \times \mathbb{S}^{N-1}} |\partial_t \nabla_\sigma g|^2 dt d\sigma - (N-3) \int_{\mathbb{R}^N} |\mathbf{v}|^2 r^{-N} dx \\ & = \left(2 - \frac{4(1-\gamma)\alpha_1}{(\gamma - \frac{N}{2})^2 + \alpha_1}\right) \int_{\mathbb{R}^N} |\mathbf{v}|^2 r^{-N} dx + \frac{4(1-\gamma)\alpha_1}{(\gamma - \frac{N}{2})^2 + \alpha_1} \int_{\mathbb{R}^N} |\partial_t \nabla_\sigma g|^2 r^{-N} dx \end{aligned}$$

by use of (4.11) (and (4.10)). Add $\int_{\mathbb{R}^N} |\partial_t \mathbf{v}|^2 r^{-N} dx$ to both sides, and we get

$$\begin{aligned} \int_{\mathbb{R}^N} |r \nabla \mathbf{v}|^2 r^{-N} dx &= \int_{\mathbb{R}^N} (|\partial_t \mathbf{v}|^2 + |\nabla_\sigma \mathbf{v}|^2) r^{-N} dx \\ &\geq \left(2 - \frac{4(1-\gamma)\alpha_1}{(\gamma - \frac{N}{2})^2 + \alpha_1}\right) \int_{\mathbb{R}^N} |\mathbf{u}|^2 |\mathbf{x}|^{2\gamma-2} dx + \mathcal{R}_{N,\gamma}[\mathbf{u}] \end{aligned}$$

to estimate the last integral in (4.8). Here we have defined

$$\mathcal{R}_{N,\gamma}[\mathbf{u}] := \int_{\mathbb{R}^N} |\partial_t \mathbf{v}|^2 r^{-N} dx + \frac{4(1-\gamma)\alpha_1}{(\gamma - \frac{N}{2})^2 + \alpha_1} \int_{\mathbb{R}^N} |\partial_t \nabla_\sigma g|^2 r^{-N} dx$$

as a nonnegative functional; it coincides with that given in Remark 1.2, as one can easily check by recalling (4.2) and (4.4). Therefore, we have obtained the inequality

$$\int_{\mathbb{R}^N} |\nabla \mathbf{u}|^2 |\mathbf{x}|^{2\gamma} dx \geq C_{P,N,\gamma} \int_{\mathbb{R}^N} |\mathbf{u}|^2 |\mathbf{x}|^{2\gamma-2} dx + \mathcal{R}_{N,\gamma}[\mathbf{u}] \quad (4.14)$$

together with the constant number

$$C_{P,N,\gamma} = \left(\gamma + \frac{N}{2} - 1\right)^2 + 2 - \frac{4(1-\gamma)\alpha_1}{(\gamma - \frac{N}{2})^2 + \alpha_1} = \left(\gamma + \frac{N}{2} - 1\right)^2 \frac{(\gamma - \frac{N}{2})^2 + N + 1}{(\gamma - \frac{N}{2})^2 + N - 1},$$

where the equality of (4.14) holds if and only if $-\Delta_\sigma g = \alpha_1 g$ or equivalently $-\Delta_\sigma u_R = \alpha_1 u_R$ on $\dot{\mathbb{R}}^N$.

§4.2.2. *The case $\gamma > 1$.* In a similar way as the previous case, a calculation by using the spherical harmonics expansion of g yields

$$\begin{aligned} & \iint_{\mathbb{R} \times \mathbb{S}^{N-1}} \left(|\nabla_\sigma \Delta_\sigma g|^2 + (\partial_t \Delta_\sigma g)^2 + (\gamma - \frac{N}{2})^2 (\Delta_\sigma g)^2 \right) dt d\sigma \\ & \geq \alpha_1 \iint_{\mathbb{R} \times \mathbb{S}^{N-1}} \left((\Delta_\sigma g)^2 + |\partial_t \nabla_\sigma g|^2 + (\gamma - \frac{N}{2})^2 |\nabla_\sigma g|^2 \right) dt d\sigma \\ & = (N-1) \int_{\mathbb{R}^N} |\mathbf{v}|^2 r^{-N} dx \end{aligned}$$

to estimate the last integral in (4.13); hence we get

$$\int_{\mathbb{R}^N} |\nabla_\sigma \mathbf{v}|^2 r^{-N} dx \geq 2 \int_{\mathbb{R}^N} |\mathbf{v}|^2 r^{-N} dx + 4(\gamma-1) \iint_{\mathbb{R} \times \mathbb{S}^{N-1}} (\Delta_\sigma g)^2 dt d\sigma,$$

where the equality holds if and only if $-\Delta_\sigma g = \alpha_1 g$ on $\dot{\mathbb{R}}^N$. This estimate together with the equations (4.11) and (4.12) further yields

$$\begin{aligned}
\frac{\int_{\mathbb{R}^N} |r \nabla \mathbf{v}|^2 r^{-N} dx}{\int_{\mathbb{R}^N} |\mathbf{v}|^2 r^{-N} dx} &= \frac{(4.12) + \int_{\mathbb{R}^N} |\nabla_\sigma \mathbf{v}|^2 r^{-N} dx}{(4.11)} \\
&\geq 2 + \frac{\iint_{\mathbb{R} \times \mathbb{S}^{N-1}} \left((\partial_t \Delta_\sigma g)^2 + |\partial_t^2 \nabla_\sigma g|^2 + \left(\gamma - \frac{N}{2}\right)^2 |\partial_t \nabla_\sigma g|^2 \right) dt d\sigma}{\iint_{\mathbb{R} \times \mathbb{S}^{N-1}} \left((\Delta_\sigma g)^2 + |\partial_t \nabla_\sigma g|^2 + \left(\gamma - \frac{N}{2}\right)^2 |\nabla_\sigma g|^2 \right) dt d\sigma} \\
&= 2 + \frac{\iint_{\mathbb{R} \times \mathbb{S}^{N-1}} g \left(-\partial_t^2 \Delta_\sigma^2 - \partial_t^4 \Delta_\sigma + \left(\gamma - \frac{N}{2}\right)^2 \partial_t^2 \Delta_\sigma + 4(\gamma - 1) \Delta_\sigma^2 \right) g dt d\sigma}{\iint_{\mathbb{R} \times \mathbb{S}^{N-1}} g \left(\Delta_\sigma^2 + \partial_t^2 \Delta_\sigma - \left(\gamma - \frac{N}{2}\right)^2 \Delta_\sigma \right) g dt d\sigma} \\
&= 2 + \frac{\iint_{\mathbb{R} \times \mathbb{S}^{N-1}} g Q_1(-\partial_t^2, -\Delta_\sigma) g dt d\sigma}{\iint_{\mathbb{R} \times \mathbb{S}^{N-1}} g Q_0(-\partial_t^2, -\Delta_\sigma) g dt d\sigma}, \tag{4.15}
\end{aligned}$$

where we have defined the two polynomials Q_0 and Q_1 by the formulae

$$\begin{aligned}
Q_1(\tau, \alpha) &:= \tau \alpha^2 + \tau^2 \alpha + \left(\gamma - \frac{N}{2}\right)^2 \tau \alpha + 4(\gamma - 1) \alpha^2, \\
Q_0(\tau, \alpha) &:= \tau \alpha + \alpha^2 + \left(\gamma - \frac{N}{2}\right)^2 \alpha.
\end{aligned}$$

In order to evaluate (4.15), we now introduce the 1-D Fourier transformation

$$g(\mathbf{x}) = g(e^t \boldsymbol{\sigma}) \mapsto \widehat{g}(\tau, \boldsymbol{\sigma}) = \frac{1}{\sqrt{2\pi}} \int_{\mathbb{R}} e^{-i\tau t} g(e^t \boldsymbol{\sigma}) dt$$

in the radial direction, which commutes with the spherical derivatives and changes t -derivative into an imaginary scalar multiplication: $\partial_t \widehat{g} = i\tau \widehat{g}$, $i = \sqrt{-1}$. Then, by expressing the spherical harmonics expansion of \widehat{g} as

$$\widehat{g} = \sum_{\nu \in \mathbb{N}} \widehat{g}_\nu, \quad -\Delta_\sigma \widehat{g}_\nu = \alpha_\nu \widehat{g}_\nu \quad (\forall \nu \in \mathbb{N}),$$

the $L^2(\mathbb{R})$ isometry of the Fourier integration yields the following estimate:

$$\begin{aligned}
\frac{\iint_{\mathbb{R} \times \mathbb{S}^{N-1}} g Q_1(-\partial_t^2, -\Delta_\sigma) g dt d\sigma}{\iint_{\mathbb{R} \times \mathbb{S}^{N-1}} g Q_0(-\partial_t^2, -\Delta_\sigma) g dt d\sigma} &= \frac{\sum_{\nu=1}^{\infty} \iint_{\mathbb{R} \times \mathbb{S}^{N-1}} Q_1(\tau^2, \alpha_\nu) |\widehat{g}_\nu|^2 d\tau d\sigma}{\sum_{\nu=1}^{\infty} \iint_{\mathbb{R} \times \mathbb{S}^{N-1}} Q_0(\tau^2, \alpha_\nu) |\widehat{g}_\nu|^2 d\tau d\sigma} \\
&\geq \inf_{\tau \in \mathbb{R}} \inf_{\nu \in \mathbb{N}} \frac{Q_1(\tau^2, \alpha_\nu)}{Q_0(\tau^2, \alpha_\nu)} = \inf_{\tau \geq 0} \inf_{\nu \in \mathbb{N}} \frac{Q_1(\tau, \alpha_\nu)}{Q_0(\tau, \alpha_\nu)} \\
&= \inf_{\tau \geq 0} \inf_{\nu \in \mathbb{N}} \left(\tau + \frac{4(\gamma - 1)\alpha_\nu}{\tau + \alpha_\nu + \left(\gamma - \frac{N}{2}\right)^2} \right) \\
&= \min_{\tau \geq 0} \frac{Q_1(\tau, \alpha_1)}{Q_0(\tau, \alpha_1)} = \min_{\tau \geq 0} \left(\tau + \frac{4\alpha_1(\gamma - 1)}{\tau + \alpha_1 + \left(\gamma - \frac{N}{2}\right)^2} \right),
\end{aligned}$$

where the second last equality follows by using $\gamma > 1$. Combine this result with (4.15), and we obtain

$$\frac{\int_{\mathbb{R}^N} |r \nabla \mathbf{v}|^2 r^{-N} dx}{\int_{\mathbb{R}^N} |\mathbf{v}|^2 r^{-N} dx} \geq 2 + \min_{\tau \geq 0} \left(\tau + \frac{4(N-1)(\gamma-1)}{\tau + N-1 + \left(\gamma - \frac{N}{2}\right)^2} \right)$$

to evaluate the quotient on the right-hand side of (4.9); therefore, the inequality

$$\int_{\mathbb{R}^N} |\nabla \mathbf{u}|^2 |\mathbf{x}|^{2\gamma} dx \geq C_{P,N,\gamma} \int_{\mathbb{R}^N} |\mathbf{u}|^2 |\mathbf{x}|^{2\gamma-2} dx$$

holds with the constant number

$$C_{P,N,\gamma} = \left(\gamma + \frac{N}{2} - 1\right)^2 + 2 + \min_{\tau \geq 0} \left(\tau + \frac{4(N-1)(\gamma-1)}{\tau + N - 1 + (\gamma - \frac{N}{2})^2} \right). \quad (4.16)$$

§4.3. **Optimality of $C_{P,N,\gamma}$.** It follows from §4.2.1 and §4.2.2 that the inequality

$$\int_{\mathbb{R}^N} |\nabla \mathbf{u}|^2 |\mathbf{x}|^{2\gamma} dx \geq C_{P,N,\gamma} \int_{\mathbb{R}^N} |\mathbf{u}|^2 |\mathbf{x}|^{2\gamma-2} dx$$

together with the constant number

$$C_{P,N,\gamma} = \left(\gamma + \frac{N}{2} - 1\right)^2 + 2 + \min_{\tau \geq 0} \frac{Q_1(\tau, \alpha_1)}{Q_0(\tau, \alpha_1)},$$

where $\frac{Q_1(\tau, \alpha_1)}{Q_0(\tau, \alpha_1)} = \tau + \frac{4(N-1)(\gamma-1)}{\tau + N - 1 + (\gamma - \frac{N}{2})^2},$

holds for all poloidal fields \mathbf{u} in $C_c^\infty(\dot{\mathbb{R}}^N)^N$, regardless of the case $\gamma \leq 1$ or $\gamma > 1$. Let us show that this number is the best possible. To do so, choose $\tau_\gamma \geq 0$ to satisfy

$$\min_{\tau \geq 0} \frac{Q_1(\tau, \alpha_1)}{Q_0(\tau, \alpha_1)} = \frac{Q_1(\tau_\gamma^2, \alpha_1)}{Q_0(\tau_\gamma^2, \alpha_1)}.$$

Define $\{g_n\}_{n \in \mathbb{N}} \subset C_c^\infty(\dot{\mathbb{R}}^N)$ as a sequence of scalar fields by

$$g_n(\mathbf{x}) = g_n(e^t \boldsymbol{\sigma}) = \zeta\left(\frac{t}{n}\right) \sigma_1 \cos(\tau_\gamma t) \quad \forall n \in \mathbb{N}, \forall \mathbf{x} \in \dot{\mathbb{R}}^N,$$

where $\boldsymbol{\sigma} = (\sigma_1, \dots, \sigma_N) = \mathbf{x}/|\mathbf{x}| \in \mathbb{S}^{N-1}$ and $t = \log |\mathbf{x}| \in \mathbb{R}$, and where $\zeta : \mathbb{R} \rightarrow \mathbb{R}$ is a smooth function $\not\equiv 0$ with compact support on \mathbb{R} ; notice that the g_n satisfies the eigenequation

$$-\Delta_\sigma g_n = \alpha_1 g_n \quad \text{on } \dot{\mathbb{R}}^N \quad (\forall n \in \mathbb{N})$$

since $-\Delta_\sigma \sigma_1 = \alpha_1 \sigma_1$. In this setting, define $\{\mathbf{v}_n\}_{n \in \mathbb{N}} \subset \mathcal{P}(\dot{\mathbb{R}}^N)$ by

$$\mathbf{v}_n = r^{\gamma + \frac{N}{2} - 1} \mathbf{D}(r^{1-\gamma - \frac{N}{2}} g_n) \quad \forall n \in \mathbb{N}$$

in terms of the poloidal generator, and apply the same calculation in (4.15) to the case $\mathbf{v} = \mathbf{v}_n$:

$$\frac{\int_{\mathbb{R}^N} |r \nabla \mathbf{v}_n|^2 r^{-N} dx}{\int_{\mathbb{R}^N} |\mathbf{v}_n|^2 r^{-N} dx} = 2 + \frac{\iint_{\mathbb{R} \times \mathbb{S}^{N-1}} g_n Q_1(-\partial_t^2, \alpha_1) g_n dt d\boldsymbol{\sigma}}{\iint_{\mathbb{R} \times \mathbb{S}^{N-1}} g_n Q_0(-\partial_t^2, \alpha_1) g_n dt d\boldsymbol{\sigma}}$$

thanks to the above eigenequation. In order to compute the quotient on the right-hand side, notice that the 1-D Fourier integration of g_n yields

$$\begin{aligned} \widehat{g}_n(\tau, \boldsymbol{\sigma}) &= \frac{1}{\sqrt{2\pi}} \int_{\mathbb{R}} e^{-i\tau t} g_n(e^t \boldsymbol{\sigma}) dt = \frac{\sigma_1}{\sqrt{2\pi}} \int_{\mathbb{R}} \zeta\left(\frac{t}{n}\right) \frac{e^{-i(\tau-\tau_\gamma)t} + e^{-i(\tau+\tau_\gamma)t}}{2} dt \\ &= \frac{n\sigma_1}{2\sqrt{2\pi}} \int_{\mathbb{R}} \zeta(t) \left(e^{-in(\tau-\tau_\gamma)t} + e^{-in(\tau+\tau_\gamma)t} \right) dt \\ &= \frac{n\sigma_1}{2} \left(\widehat{\zeta}(n(\tau - \tau_\gamma)) + \widehat{\zeta}(n(\tau + \tau_\gamma)) \right). \end{aligned}$$

By using this formula, the $L^2(\mathbb{R})$ isometry of the Fourier integration yields the following calculation:

$$\begin{aligned}
\frac{\iint_{\mathbb{R} \times \mathbb{S}^{N-1}} g_n Q_1(-\partial_t^2, \alpha_1) g_n dt d\sigma}{\iint_{\mathbb{R} \times \mathbb{S}^{N-1}} g_n Q_0(-\partial_t^2, \alpha_1) g_n dt d\sigma} &= \frac{\iint_{\mathbb{R} \times \mathbb{S}^{N-1}} Q_1(\tau^2, \alpha_1) |\widehat{g_n}|^2 d\tau d\sigma}{\iint_{\mathbb{R} \times \mathbb{S}^{N-1}} Q_0(\tau^2, \alpha_1) |\widehat{g_n}|^2 d\tau d\sigma} \\
&= \frac{\int_{\mathbb{R}} Q_1(\tau^2, \alpha_1) \left(|\widehat{\zeta}(n(\tau - \tau_\gamma))|^2 + |\widehat{\zeta}(n(\tau + \tau_\gamma))|^2 + 2 \operatorname{Re}(\widehat{\zeta}(n(\tau - \tau_\gamma)) \overline{\widehat{\zeta}(n(\tau + \tau_\gamma))}) \right) d\tau}{\int_{\mathbb{R}} Q_0(\tau^2, \alpha_1) \left(|\widehat{\zeta}(n(\tau - \tau_\gamma))|^2 + |\widehat{\zeta}(n(\tau + \tau_\gamma))|^2 + 2 \operatorname{Re}(\widehat{\zeta}(n(\tau - \tau_\gamma)) \overline{\widehat{\zeta}(n(\tau + \tau_\gamma))}) \right) d\tau} \\
&= \frac{\int_{\mathbb{R}} \left(Q_1((\tau_\gamma + \frac{\tau}{n})^2, \alpha_1) + Q_1((\tau_\gamma - \frac{\tau}{n})^2, \alpha_1) \right) |\widehat{\zeta}(\tau)|^2 d\tau + 2 \operatorname{Re} \left(Q_1((\tau_\gamma + \frac{\tau}{n})^2, \alpha_1) \overline{\widehat{\zeta}(\tau)} \widehat{\zeta}(\tau + 2n\tau_\gamma) \right)}{\int_{\mathbb{R}} \left(Q_0((\tau_\gamma + \frac{\tau}{n})^2, \alpha_1) + Q_0((\tau_\gamma - \frac{\tau}{n})^2, \alpha_1) \right) |\widehat{\zeta}(\tau)|^2 d\tau + 2 \operatorname{Re} \left(Q_0((\tau_\gamma + \frac{\tau}{n})^2, \alpha_1) \overline{\widehat{\zeta}(\tau)} \widehat{\zeta}(\tau + 2n\tau_\gamma) \right)} d\tau \\
&\rightarrow \frac{Q_1(\tau_\gamma^2, \alpha_1) \int_{\mathbb{R}} |\zeta(\tau)|^2 d\tau}{Q_0(\tau_\gamma^2, \alpha_1) \int_{\mathbb{R}} |\zeta(\tau)|^2 d\tau} = \frac{Q_1(\tau_\gamma^2, \alpha_1)}{Q_0(\tau_\gamma^2, \alpha_1)} \quad \text{as } n \rightarrow \infty.
\end{aligned}$$

Here the convergence in the last line follows by using

$$\begin{aligned}
&\int_{\mathbb{R}} Q_j((\tau_\gamma + \frac{\tau}{n})^2, \alpha_1) \overline{\widehat{\zeta}(\tau)} \widehat{\zeta}(\tau + 2n\tau_\gamma) d\tau \\
&\xrightarrow{(n \rightarrow \infty)} \begin{cases} 0 & \text{if } \tau_\gamma \neq 0 \\ Q_j(\tau_\gamma^2, \alpha_1) \int_{\mathbb{R}} |\zeta(\tau)|^2 d\tau & \text{if } \tau_\gamma = 0 \end{cases}
\end{aligned}$$

for both $j = 0$ and $j = 1$; this fact is ensured by that $\widehat{\zeta}$ is rapidly decreasing. Combine the results above, and consequently

$$\frac{\int_{\mathbb{R}^N} |r \nabla \mathbf{v}_n|^2 r^{-N} dx}{\int_{\mathbb{R}^N} |\mathbf{v}_n|^2 r^{-N} dx} \xrightarrow{(n \rightarrow \infty)} 2 + \frac{Q_1(\tau_\gamma^2, \alpha_1)}{Q_0(\tau_\gamma^2, \alpha_1)} = 2 + \min_{\tau \geq 0} \frac{Q_1(\tau, \alpha_1)}{Q_0(\tau, \alpha_1)}.$$

Therefore, it turns out from (4.9) that the sequence of poloidal fields

$$\mathbf{u}_n = r^{1-\gamma-\frac{N}{2}} \mathbf{v}_n = \mathbf{D}(r^{1-\gamma-\frac{N}{2}} g_n) \quad (n = 1, 2, \dots)$$

satisfies

$$\frac{\int_{\mathbb{R}^N} |\nabla \mathbf{u}_n|^2 |\mathbf{x}|^{2\gamma} dx}{\int_{\mathbb{R}^N} |\mathbf{u}_n|^2 |\mathbf{x}|^{2\gamma-2} dx} \rightarrow C_{P,N,\gamma} \quad (n \rightarrow \infty),$$

as the desired optimality of $C_{P,N,\gamma}$.

§4.4. Estimation for toroidal fields: evaluation and optimality of $C_{T,N,\gamma}$.

In this subsection, \mathbf{u} is assumed to be toroidal with compact support on \mathbb{R}^N . Let \mathbf{v} be the toroidal field given by the same transformation

$$\mathbf{v}(\mathbf{x}) = |\mathbf{x}|^{\gamma+\frac{N}{2}-1} \mathbf{u}(\mathbf{x}) \quad (\forall \mathbf{x} \in \mathbb{R}^N)$$

as the first formula of (4.2). Applying the same calculation as in (4.7) and (4.8), we have

$$\begin{aligned} \int_{\mathbb{R}^N} |\nabla \mathbf{u}|^2 |\mathbf{x}|^{2\gamma} dx &= \left(\gamma + \frac{N}{2} - 1\right)^2 \int_{\mathbb{R}^N} |\mathbf{u}|^2 |\mathbf{x}|^{2\gamma} dx \\ &\quad + \int_{\mathbb{R}^N} |\partial_t \mathbf{v}|^2 r^{-N} dx + \int_{\mathbb{R}^N} |\nabla_\sigma \mathbf{u}|^2 |\mathbf{x}|^{2\gamma-2} dx. \end{aligned}$$

On the other hand, recall from (3.2) that every toroidal field has zero spherical mean; then, considering the spherical harmonics expansion of (every component of) the toroidal field \mathbf{u} , we easily get the Poincaré type inequality

$$\begin{aligned} \int_{\mathbb{S}^{N-1}} |\nabla_\sigma \mathbf{u}|^2 d\sigma &\geq \alpha_1 \int_{\mathbb{S}^{N-1}} |\mathbf{u}|^2 d\sigma \quad (\text{for any radius}), \\ \text{and hence } \int_{\mathbb{R}^N} |\nabla_\sigma \mathbf{u}|^2 |\mathbf{x}|^{2\gamma-2} dx &\geq \alpha_1 \int_{\mathbb{R}^N} |\mathbf{u}|^2 |\mathbf{x}|^{2\gamma-2} dx, \end{aligned}$$

where the equality is attained if and only if $-\Delta_\sigma \mathbf{u} = \alpha_1 \mathbf{u}$ on $\dot{\mathbb{R}}^N$. Combine this integral inequality with the above integral equation, and we get

$$\int_{\mathbb{R}^N} |\nabla \mathbf{u}|^2 |\mathbf{x}|^{2\gamma} dx \geq C_{T,N,\gamma} \int_{\mathbb{R}^N} |\mathbf{u}|^2 |\mathbf{x}|^{2\gamma-2} dx + \int_{\mathbb{R}^N} |\partial_t \mathbf{v}|^2 r^{-N} dx \quad (4.17)$$

together with the constant number

$$C_{T,N,\gamma} = \left(\gamma + \frac{N}{2} - 1\right)^2 + N - 1, \quad (4.18)$$

where the equality in (4.17) is attained if and only if $-\Delta_\sigma \mathbf{u} = \alpha_1 \mathbf{u}$ on $\dot{\mathbb{R}}^N$. In particular, we get the Hardy-Leray inequality

$$\int_{\mathbb{R}^N} |\nabla \mathbf{u}|^2 |\mathbf{x}|^{2\gamma} dx \geq C_{T,N,\gamma} \int_{\mathbb{R}^N} |\mathbf{u}|^2 |\mathbf{x}|^{2\gamma-2} dx$$

for toroidal fields. To show that the constant number given by (4.18) is the best possible in this inequality, we set

$$\mathbf{v}_0(\mathbf{x}) := (-x_2, x_1, 0, \dots, 0) \quad \forall \mathbf{x} \in \dot{\mathbb{R}}^N$$

as a toroidal field satisfying the eigenequation

$$-\Delta_\sigma \mathbf{v}_0 = \alpha_1 \mathbf{v}_0 \quad \text{on } \dot{\mathbb{R}}^N.$$

In this setting, define $\{\mathbf{v}_n\}_{n \in \mathbb{N}}$ and $\{\mathbf{u}_n\}_{n \in \mathbb{N}}$ as two sequences of toroidal fields by

$$\left. \begin{aligned} \mathbf{v}_n(\mathbf{x}) &= \zeta\left(\frac{\log |\mathbf{x}|}{n}\right) \mathbf{v}_0(\mathbf{x}/|\mathbf{x}|) \\ \mathbf{u}_n(\mathbf{x}) &= |\mathbf{x}|^{1-\gamma-\frac{N}{2}} \mathbf{v}_n(\mathbf{x}) \end{aligned} \right\} \quad \forall \mathbf{x} \in \dot{\mathbb{R}}^N, \quad \forall n \in \mathbb{N},$$

where $\zeta : \mathbb{R} \rightarrow \mathbb{R}$ is a smooth function $\not\equiv 0$ with compact support on \mathbb{R} . Now apply (4.17) to the case $\mathbf{u} = \mathbf{u}_n$; then, thanks to the above eigenequation, we get

$$\int_{\mathbb{R}^N} |\nabla \mathbf{u}_n|^2 |\mathbf{x}|^{2\gamma} dx = C_{T,N,\gamma} \int_{\mathbb{R}^N} |\mathbf{u}_n|^2 |\mathbf{x}|^{2\gamma-2} dx + \int_{\mathbb{R}^N} |\partial_t \mathbf{v}_n|^2 r^{-N} dx \quad (\forall n \in \mathbb{N}).$$

Dividing both sides by $\int_{\mathbb{R}^N} |\mathbf{u}_n|^2 |\mathbf{x}|^{2\gamma-2} dx = \int_{\mathbb{R}^N} |\mathbf{v}_n|^2 r^{-N} dx$, we obtain

$$\begin{aligned} \frac{\int_{\mathbb{R}^N} |\nabla \mathbf{u}_n|^2 |\mathbf{x}|^{2\gamma} dx}{\int_{\mathbb{R}^N} |\mathbf{u}_n|^2 |\mathbf{x}|^{2\gamma-2} dx} &= C_{T,N,\gamma} + \frac{\int_{\mathbb{R}^N} |\partial_t \mathbf{v}_n|^2 r^{-N} dx}{\int_{\mathbb{R}^N} |\mathbf{v}_n|^2 r^{-N} dx} \\ &= C_{T,N,\gamma} + \frac{\int_{\mathbb{R}} (n^{-1} \zeta'(t/n))^2 dt}{\int_{\mathbb{R}} (\zeta(t/n))^2 dt} \longrightarrow C_{T,N,\gamma} \quad (n \rightarrow \infty) \end{aligned}$$

as the desired the optimality of $C_{T,N,\gamma}$.

§4.5. Conclusion of the proof of main theorem and its remark. Substituting (4.16) and (4.18) into (4.1), we get

$$\begin{aligned} C_{N,\gamma} &= \min \{C_{P,N,\gamma}, C_{T,N,\gamma}\} \\ &= \left(\gamma + \frac{N}{2} - 1\right)^2 + \min \left\{ 2 + \min_{\tau \geq 0} \left(\tau + \frac{4(N-1)(\gamma-1)}{\tau + N - 1 + (\gamma - \frac{N}{2})^2} \right), N - 1 \right\} \end{aligned}$$

as the desired best constant (1.2) of the inequality (1.1) for all solenoidal fields \mathbf{u} .

Moreover, for the case $\gamma \leq 1$, we get the additional term of H-L inequality in the following way: Notice from a direct computation that

$$C_{N,\gamma} = C_{P,N,\gamma} \quad \text{and} \quad \begin{cases} C_{P,N,\gamma} = C_{T,N,\gamma} & \text{for } (N, \gamma) = (3, 1), \\ C_{P,N,\gamma} < C_{T,N,\gamma} & \text{otherwise.} \end{cases}$$

By using this fact, the calculation of (4.14) $_{\mathbf{u}=\mathbf{u}_P}$ plus (4.17) $_{\mathbf{u}=\mathbf{u}_T}$ gives

$$\begin{aligned} \int_{\mathbb{R}^N} |\nabla \mathbf{u}|^2 |\mathbf{x}|^{2\gamma} dx &= \int_{\mathbb{R}^N} |\nabla \mathbf{u}_P|^2 |\mathbf{x}|^{2\gamma} dx + \int_{\mathbb{R}^N} |\nabla \mathbf{u}_T|^2 |\mathbf{x}|^{2\gamma} dx \\ &\geq C_{P,N,\gamma} \int_{\mathbb{R}^N} |\mathbf{u}_P|^2 |\mathbf{x}|^{2\gamma-2} dx + C_{T,N,\gamma} \int_{\mathbb{R}^N} |\mathbf{u}_T|^2 |\mathbf{x}|^{2\gamma-2} dx \\ &\quad + \mathcal{R}_{N,\gamma}[\mathbf{u}_P] + \int_{\mathbb{R}^N} |\partial_t (|\mathbf{x}|^{\gamma+\frac{N}{2}-1} \mathbf{u}_T)|^2 r^{-N} dx \\ &= C_{N,\gamma} \int_{\mathbb{R}^N} |\mathbf{u}|^2 |\mathbf{x}|^{2\gamma-2} dx + (C_{T,N,\gamma} - C_{P,N,\gamma}) \int_{\mathbb{R}^N} |\mathbf{u}_T|^2 |\mathbf{x}|^{2\gamma-2} dx + \mathcal{R}_{N,\gamma}[\mathbf{u}] \\ &\geq C_{N,\gamma} \int_{\mathbb{R}^N} |\mathbf{u}|^2 |\mathbf{x}|^{2\gamma-2} dx + \mathcal{R}_{N,\gamma}[\mathbf{u}] \end{aligned}$$

to arrive at the desired inequality (1.3). Here the second resp. last equality sign is attained if and only if

$$-\Delta_\sigma u_R = \alpha_1 u_R \quad \text{and} \quad -\Delta_\sigma \mathbf{u}_T = \alpha_1 \mathbf{u}_T \quad \text{on } \dot{\mathbb{R}}^N$$

resp. if and only if

$$\mathbf{u}_T \equiv \mathbf{0} \quad \text{or} \quad (N, \gamma) = (3, 1);$$

hence both the equality signs are simultaneously attained if and only if

$$-\Delta_\sigma u_R = \alpha_1 u_R \quad \text{and} \quad \begin{cases} -\Delta_\sigma \mathbf{u}_T = 2\mathbf{u}_T & \text{for } (N, \gamma) = (3, 1) \\ \mathbf{u}_T = \mathbf{0} & \text{otherwise} \end{cases} \quad \text{on } \dot{\mathbb{R}}^N,$$

which gives the same attainability condition as in Remark 1.2. The proof of Theorem 1.1 and its remark is now complete.

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